

## Chapter 9

# Resonance and Electric Filters

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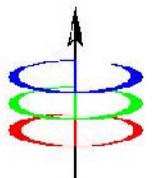


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Revised by Luis G. Sison, Mar 7, 2004

Revised Jhoanna Pedrasa Sept 2005



# Network Sensitivity to Frequency

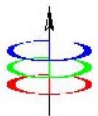
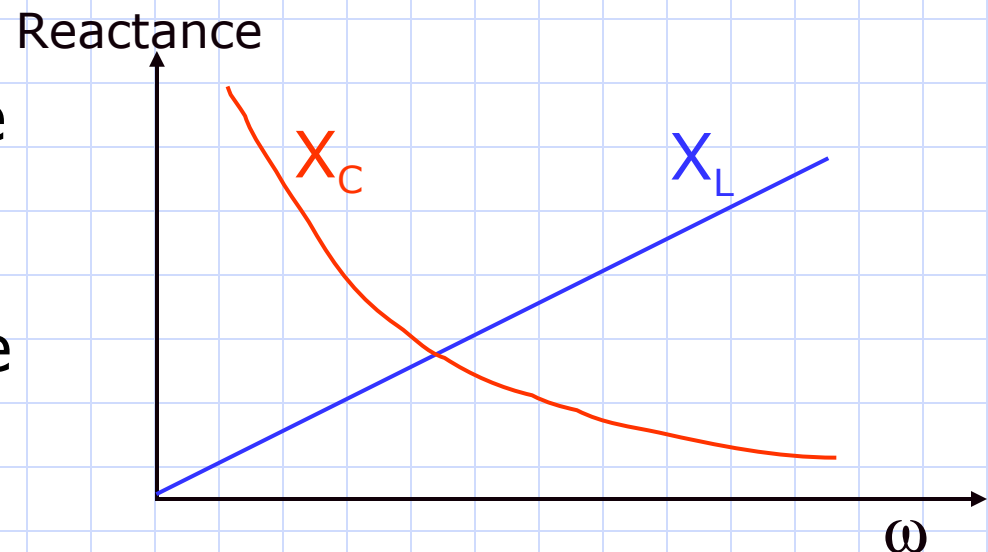
Recall the reactance of an inductor or a capacitor.

$$X_L = \omega L \quad \text{in } \Omega, \text{ for an inductor}$$

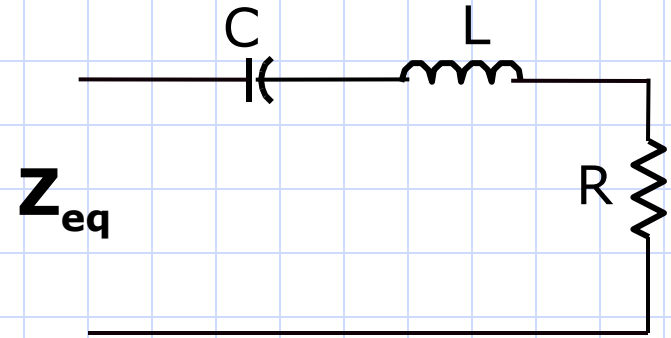
$$X_C = \frac{1}{\omega C} \quad \text{in } \Omega, \text{ for a capacitor}$$

Plots of  $X_L$  and  $X_C$  versus  $\omega$  are shown.

**Note:** The inductive reactance increases with  $\omega$ . The capacitive reactance decreases with  $\omega$ .



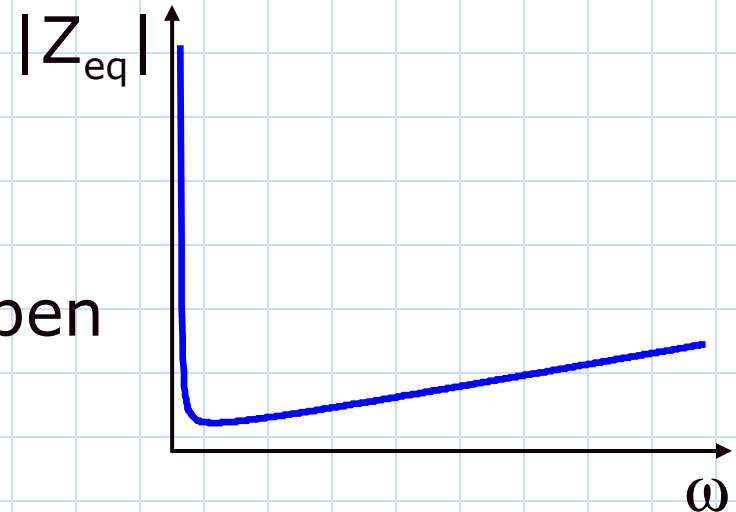
Consider next the series RLC network.



The equivalent impedance is

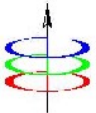
$$Z_{eq} = R + j\omega L + \frac{1}{j\omega C}$$

A plot of the magnitude of  $Z_{eq}$  is shown.

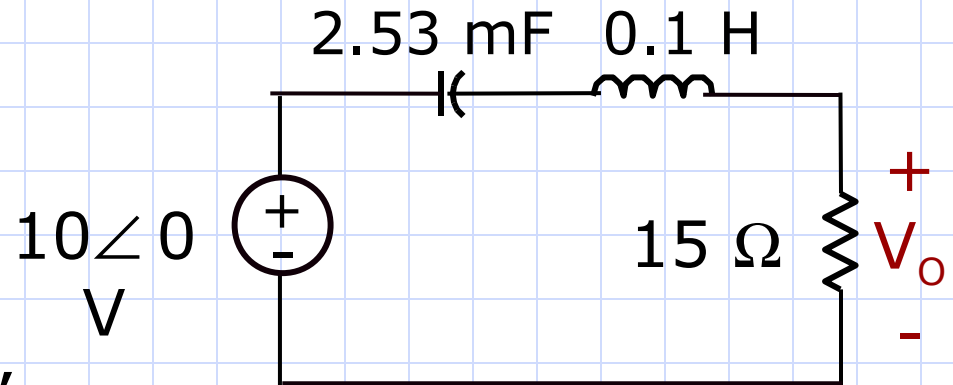


### Notes:

1. At low  $\omega$ ,  $C$  acts as an open circuit ( $\infty Z_{eq}$ ).
2. As  $\omega$  increases,  $L$  dominates (increasing to  $\infty Z_{eq}$ ).



**Example:** Plot the magnitude of  $V_o$  from 0 to 1 kHz.



Using voltage division, the output  $V_o$  can be expressed as

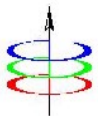
$$V_o = \frac{R}{R + j\omega L + \frac{1}{j\omega C}} V_s = \frac{j\omega CR}{j\omega CR + (j\omega)^2 LC + 1} V_s$$

Substituting,

$$V_o = \frac{j\omega(37.95)10^{-3}}{j\omega(37.95)10^{-3} + (j\omega)^2(2.53)10^{-4} + 1} 10\angle 0$$

The magnitude is

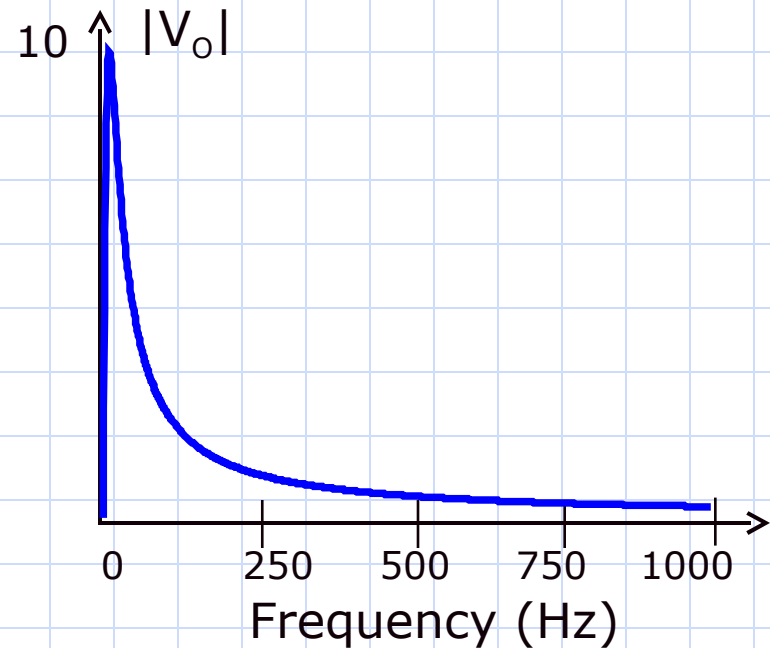
$$|V_o| = \frac{\omega(37.95)10^{-3}}{\sqrt{(\omega(37.95)10^{-3})^2 + (1 - \omega^2(2.53)10^{-4})^2}} 10$$



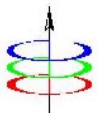
Plot  $|V_o|$  by substituting different values of  $f$  from 0 to 1 kHz with  $\omega = 2\pi f$ .

We note that:

1. At low frequencies, the capacitor is open so  $V_o = 0$ .
2. At high frequencies, the inductor acts as an open circuit so again,  $V_o = 0$ .

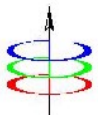
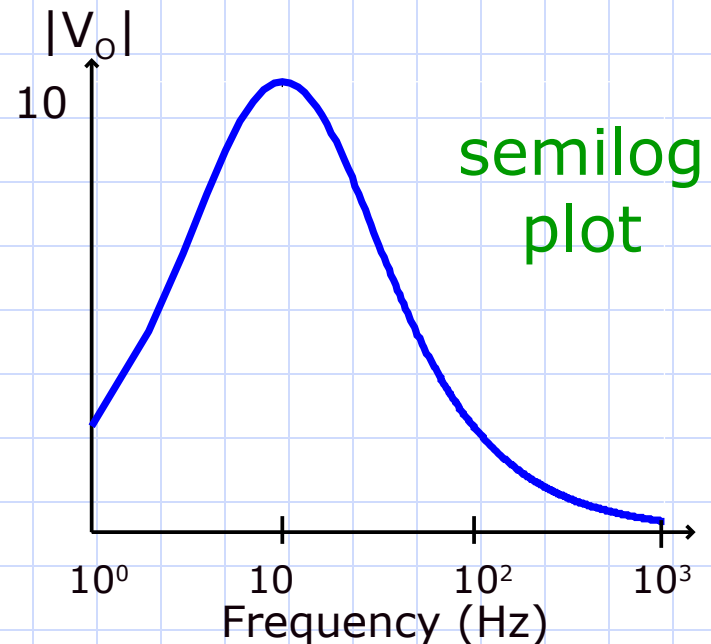
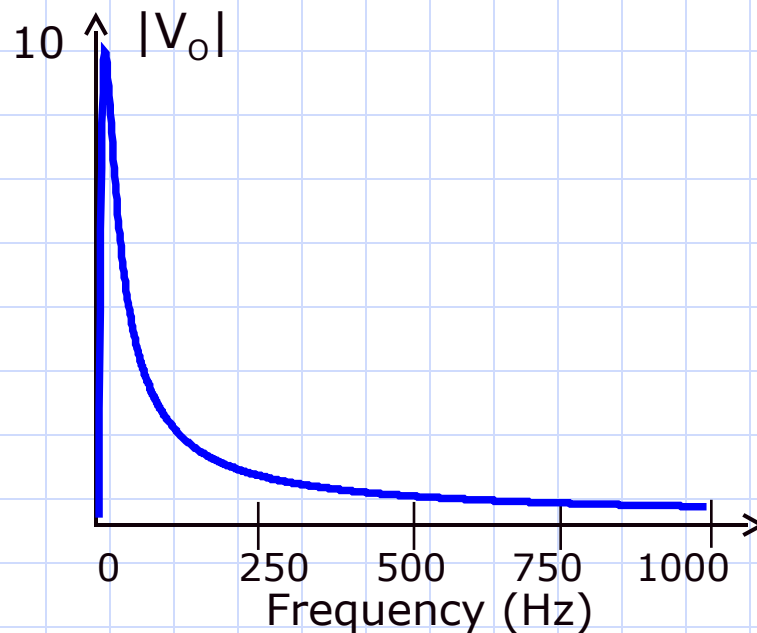


This is an example of a **frequency-dependent network** and we have shown that its performance is caused by the reactive elements in the circuit.



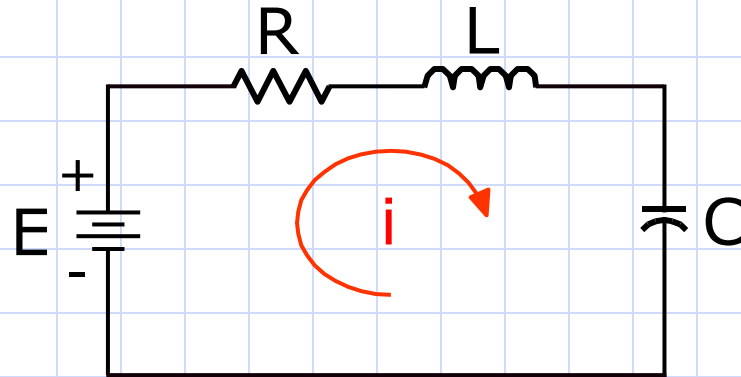
# The Semilog Plot

In the previous example, much of the variation in the low frequencies is difficult to see. A standard technique to get a more meaningful graph is to plot the frequency on a **log axis**, expanding the low-frequency portions.



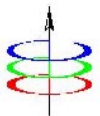
# Natural Frequency - Series RLC

Recall the transient response of the series RLC network.



Characteristic Equation:  $s^2 + \frac{R}{L}s + \frac{1}{LC} = 0$

Roots:  $s_1, s_2 = -\frac{R}{2L} \pm \sqrt{\left(\frac{R}{2L}\right)^2 - \frac{1}{LC}}$



The circuit is underdamped when  $\left[ \frac{R}{2L} \right]^2 < \frac{1}{LC}$

We get  $i_t = e^{-\alpha t} (K_1 \cos \omega_d t + K_2 \sin \omega_d t)$

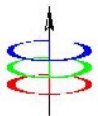
where

$$\omega_d = \sqrt{\omega_o^2 - \alpha^2} \quad \alpha = \frac{R}{2L} \quad \omega_o = \frac{1}{\sqrt{LC}}$$

The circuit is undamped when  $R=0$ . We get

$$i_t = K_1 \cos \omega_o t + K_2 \sin \omega_o t$$

**Note:**  $\omega_o$  is the natural frequency of the circuit.



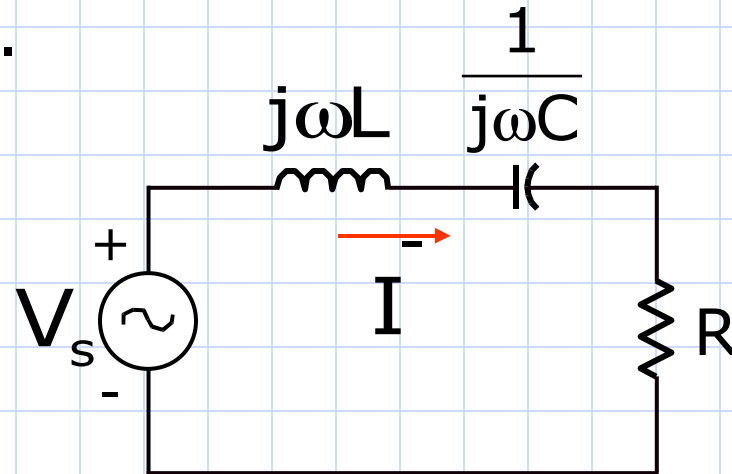


# Series Resonance

Consider a series RLC network with a voltage source  $v_s(t) = V_m \cos \omega t$  volts, where  $V_m$  is constant and  $\omega$  is variable. Find the magnitude of the current as  $\omega$  is varied.

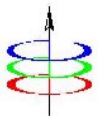
Transformed Network

Let  $V_s = V \angle 0^\circ$



The input impedance is

$$Z_{in} = R + j \left[ \omega L - \frac{1}{\omega C} \right]$$



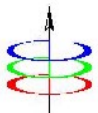
Solving for the phasor current, we get

$$\mathbf{I} = \frac{\mathbf{V}_s}{\mathbf{Z}_{in}} = \frac{V \angle 0^\circ}{R + j \left[ \omega L - \frac{1}{\omega C} \right]}$$

The current magnitude is

$$I = \frac{V}{|Z_{in}|} = \frac{V}{\sqrt{R^2 + \left[ \omega L - \frac{1}{\omega C} \right]^2}}$$

- Note:**
1. When  $\omega = 0$ , the current is zero.
  2. As  $\omega \rightarrow \infty$ , the current approaches zero.



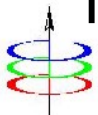
The circuit is at **resonance** when the magnitude of the current is maximum. The frequency is called the resonant frequency  $\omega_o$ . We get

$$\omega_o L - \frac{1}{\omega_o C} = 0$$

or

$$\omega_o = \frac{1}{\sqrt{LC}} \text{ rad/sec}$$

**Note:** Recall that  $\omega_o$  is the natural frequency of the series RLC network. Thus, when a sinusoidal source of frequency  $\omega_o$  is applied to the series RLC network, resonance occurs.



# Series Resonant Conditions

- (1) The frequency of the applied voltage source is

$$\omega_o = \frac{1}{\sqrt{LC}} \text{ rad/sec}$$

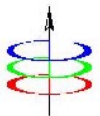
- (2) The input impedance is **REAL** and is a minimum.

$$Z_{in} = R$$

- (3) The source voltage and current are in phase.  
The input power factor is unity.

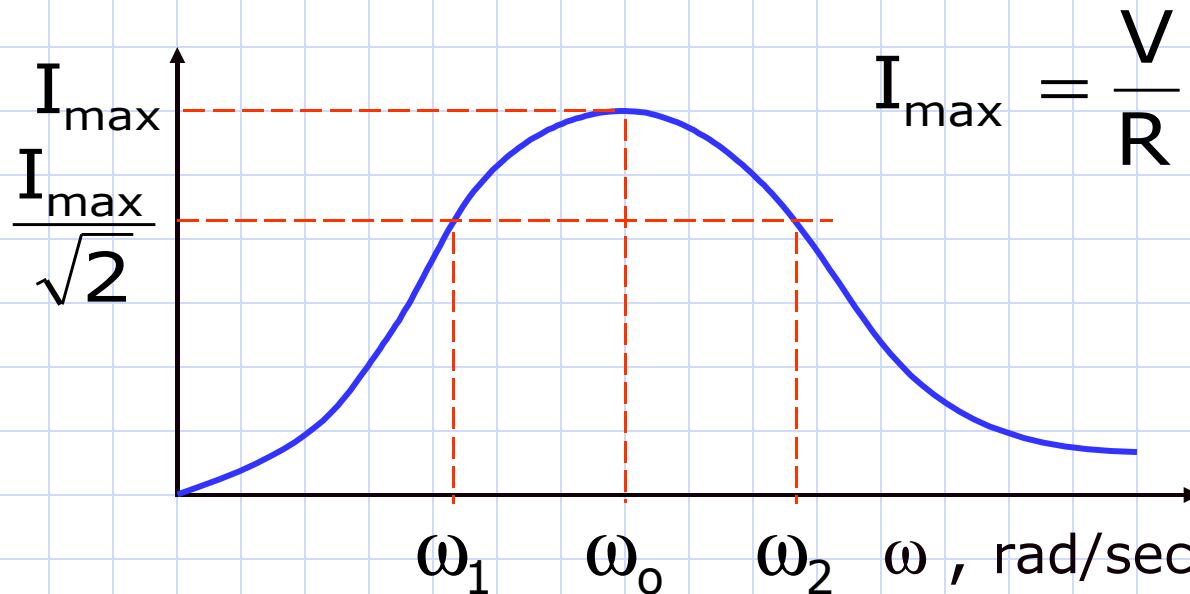
- (4) The current magnitude is  $I = \frac{V}{R}$ , a maximum.

- (5) The series RLC network is an **electric filter**.

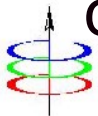


# Current Magnitude vs. Frequency

A plot of the current magnitude versus frequency is shown below.



Define frequencies  $\omega_1$  and  $\omega_2$ , where the magnitude of the current is 0.707 of the maximum value.



At  $\omega = \omega_1$  or  $\omega = \omega_2$ , we get

$$I = \frac{1}{\sqrt{2}} I_{\max} = \frac{1}{\sqrt{2}} \frac{V}{R}$$

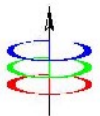
or

$$\frac{V}{\sqrt{R^2 + \left[ \omega L - \frac{1}{\omega C} \right]^2}} = \frac{1}{\sqrt{2}} \frac{V}{R}$$

Simplifying, we get  $R^2 + \left( \omega L - \frac{1}{\omega C} \right)^2 = 2R^2$

or

$$\omega L - \frac{1}{\omega C} = \pm R$$



At  $\omega = \omega_2$ ,

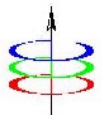
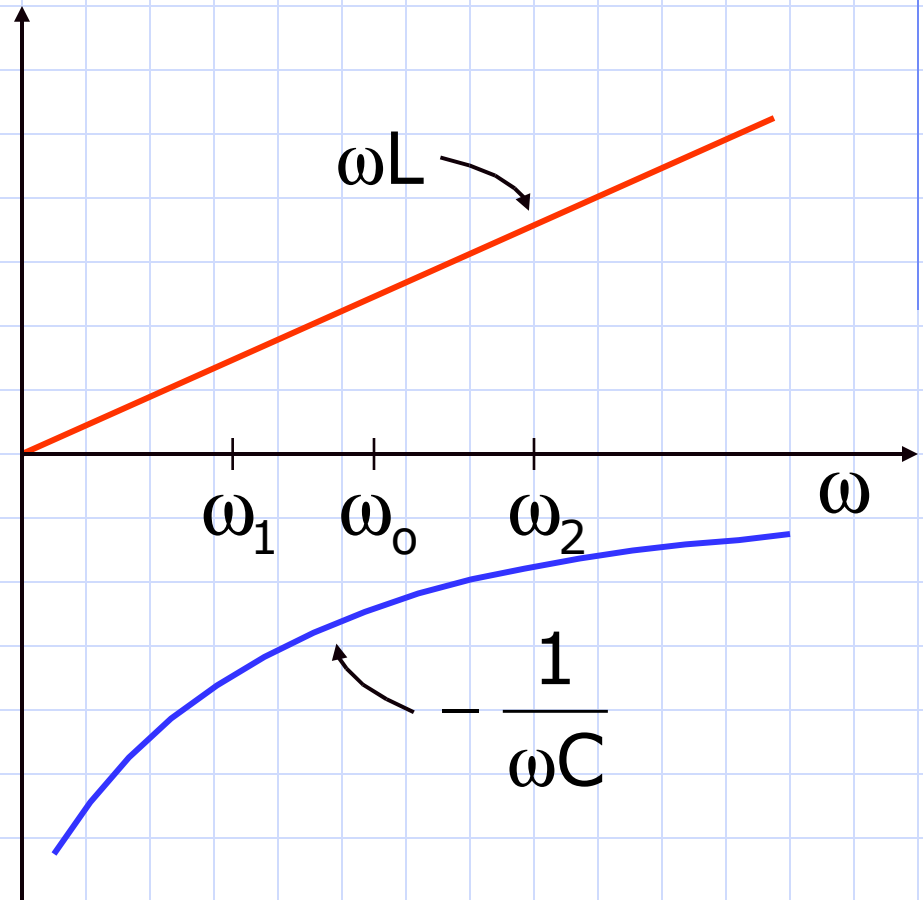
$$\omega_2 L - \frac{1}{\omega_2 C} = +R$$

At  $\omega = \omega_1$ ,

$$\omega_1 L - \frac{1}{\omega_1 C} = -R$$

**Note:** At  $\omega = \omega_0$ ,

$$\omega_0 L - \frac{1}{\omega_0 C} = 0$$



Solve for  $\omega_1$ . From  $\omega_1 L - \frac{1}{\omega_1 C} = -R$ , we get

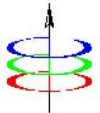
$$\omega_1^2 + \frac{R}{L} \omega_1 - \frac{1}{LC} = 0$$

which gives

$$\omega_1 = -\frac{R}{2L} + \sqrt{\left(\frac{R}{2L}\right)^2 + \frac{1}{LC}} \quad \text{rad/sec}$$

Similarly, we get for  $\omega_2$

$$\omega_2 = \frac{R}{2L} + \sqrt{\left(\frac{R}{2L}\right)^2 + \frac{1}{LC}} \quad \text{rad/sec}$$





The angular frequencies  $\omega_1$  and  $\omega_2$  are called the **half-power-point frequencies**.

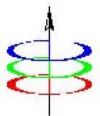
At resonance,  $I = I_{\max} = \frac{V}{R}$

The power is  $P = P_{\max} = I_{\max}^2 R = \frac{V^2}{R}$

When  $\omega = \omega_1$  or  $\omega = \omega_2$ ,  $I = \frac{1}{\sqrt{2}} I_{\max} = \frac{1}{\sqrt{2}} \frac{V}{R}$

The corresponding power is

$$P = I^2 R = \frac{1}{2} \frac{V^2}{R} = \frac{1}{2} P_{\max}$$



# Bandwidth

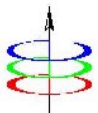
The range of frequency for which the magnitude of the current is greater than or equal to 0.707 of the maximum value is called the **bandwidth**.

$$BW = \omega_2 - \omega_1 \quad \text{rad/sec}$$

Using the expressions for  $\omega_1$  and  $\omega_2$ , we get

$$BW = \frac{R}{L} \quad \text{rad/sec}$$

**Note:** The smaller the BW, the more selective the network.



# Quality Factor

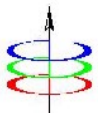
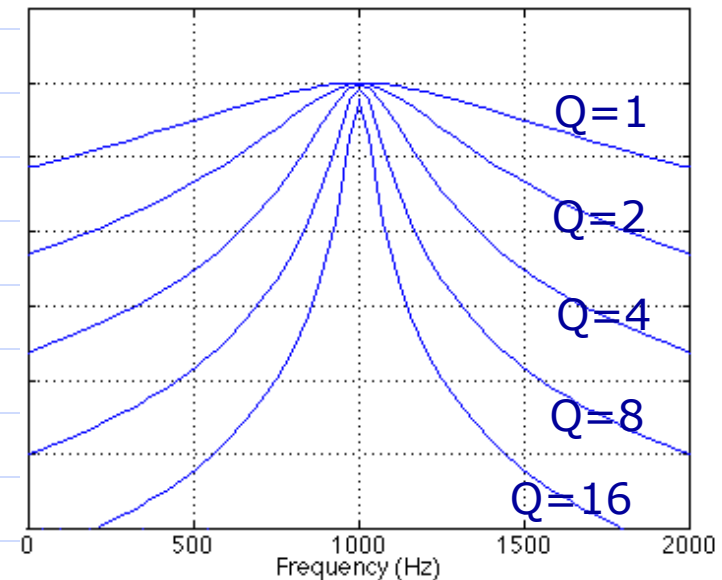
The **quality factor**  $Q$  is defined as the ratio of the resonant frequency to the bandwidth.

$$Q = \frac{\omega_o}{BW} = \frac{\omega_o}{\omega_2 - \omega_1}$$

Since  $BW = \frac{R}{L}$  and  $\omega_o L - \frac{1}{\omega_o C} = 0$  we get

$$Q = \frac{\omega_o L}{R} = \frac{1}{\omega_o CR}$$

**Note:**  $Q$  is a measure of the selectivity of the network. The higher the  $Q$ , the more selective the network.



The **resonant frequency**  $\omega_0$  is **not** centered between the frequencies  $\omega_1$  and  $\omega_2$ . From

$$\omega_1 L - \frac{1}{\omega_1 C} = -R \quad \text{and} \quad \omega_2 L - \frac{1}{\omega_2 C} = +R$$

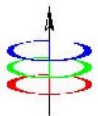
we get

$$\omega_2 L - \frac{1}{\omega_2 C} = \frac{1}{\omega_1 C} - \omega_1 L$$

or

$$(\omega_1 + \omega_2) L = \frac{1}{\omega_1 C} + \frac{1}{\omega_2 C}$$

$$(\omega_1 + \omega_2) LC = \frac{1}{\omega_1} + \frac{1}{\omega_2}$$



Simplifying, we get

$$\bigcirc \quad (\omega_1 + \omega_2) LC = \frac{\omega_1 + \omega_2}{\omega_1 \omega_2}$$

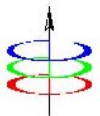
or

$$\frac{1}{LC} = \omega_1 \omega_2$$

Since  $\omega_o = \frac{1}{\sqrt{LC}}$ , then

$$\boxed{\omega_o = \sqrt{\omega_1 \omega_2}}$$

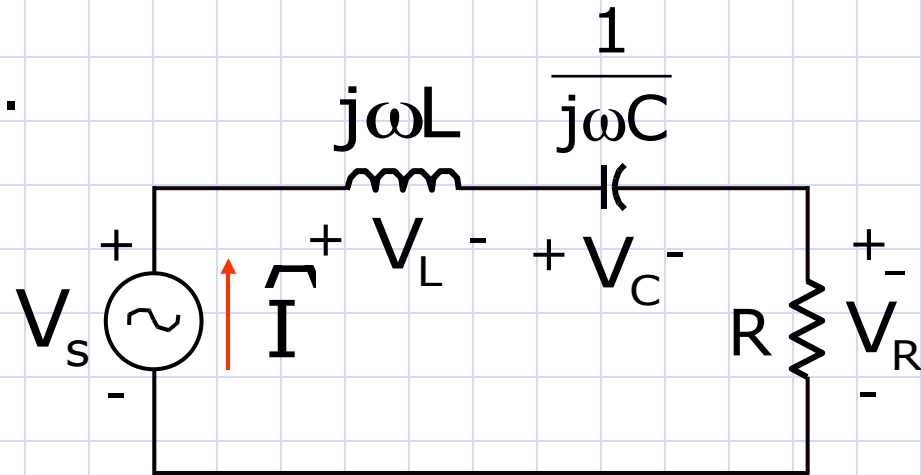
**Note:** The resonant frequency  $\omega_o$  is located at the **geometric mean** of the frequencies  $\omega_1$  and  $\omega_2$ .



# Voltages at Resonance

At resonance,  $Z_{in} = R$ .

$$\vec{I} = \frac{V_s}{Z_{in}} = \frac{V}{R} \angle 0^\circ$$

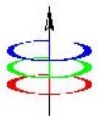


The voltages are

$$\vec{V}_R = R\vec{I} = V \angle 0^\circ = V_s$$

$$\vec{V}_L = j\omega_0 L \vec{I} = \frac{\omega_0 L}{R} V \angle 90^\circ$$

$$\vec{V}_C = \frac{1}{j\omega_0 C} \vec{I} = \frac{1}{\omega_0 C R} V \angle -90^\circ$$



Since

$$\omega_o L = \frac{1}{\omega_o C}$$

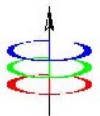
Then  $\bar{V}_L = -V_C$ .

Also, since  $Q = \frac{\omega_o L}{R} = \frac{1}{\omega_o CR}$

then  $V_L = QV \angle 90^\circ$  and  $V_C = QV \angle -90^\circ$

where  $V$  is the RMS input voltage.

**Note:** For a high-Q circuit, the magnitude of the voltage across  $L$  or  $C$  may be very **HIGH!**



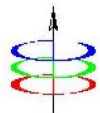
**Example:** Consider a series RLC circuit with the following values:  $R=500\Omega$ ,  $L=1\text{H}$ , and  $C=10\text{nF}$ .  
The source is 220 volts RMS. Determine the resonant frequency, the half-power-point frequencies, the bandwidth and the quality factor. Also find the voltages across L and C at resonance.

The resonant frequency

$$\omega_o = \frac{1}{\sqrt{LC}} = 10,000 \text{ rad/sec}$$

or

$$f_o = \frac{\omega_o}{2\pi} = 1,591.5 \text{ Hz}$$





The half-power-point frequencies

$$\omega_1 = -\frac{R}{2L} + \sqrt{\left(\frac{R}{2L}\right)^2 + \frac{1}{LC}}$$

$$= -250 + 10,003 = 9,753 \text{ rad/sec}$$

or

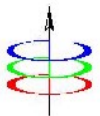
$$f_1 = 1,552.3 \text{ Hz}$$

$$\omega_2 = \frac{R}{2L} + \sqrt{\left(\frac{R}{2L}\right)^2 + \frac{1}{LC}}$$

$$= 10,257 \text{ rad/sec}$$

or

$$f_2 = 1,632.5 \text{ Hz}$$



The bandwidth and quality factor

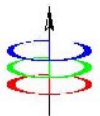
$$BW = \frac{R}{L} = 500 \text{ rad/sec}$$
$$= 159.15 \text{ Hz}$$

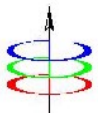
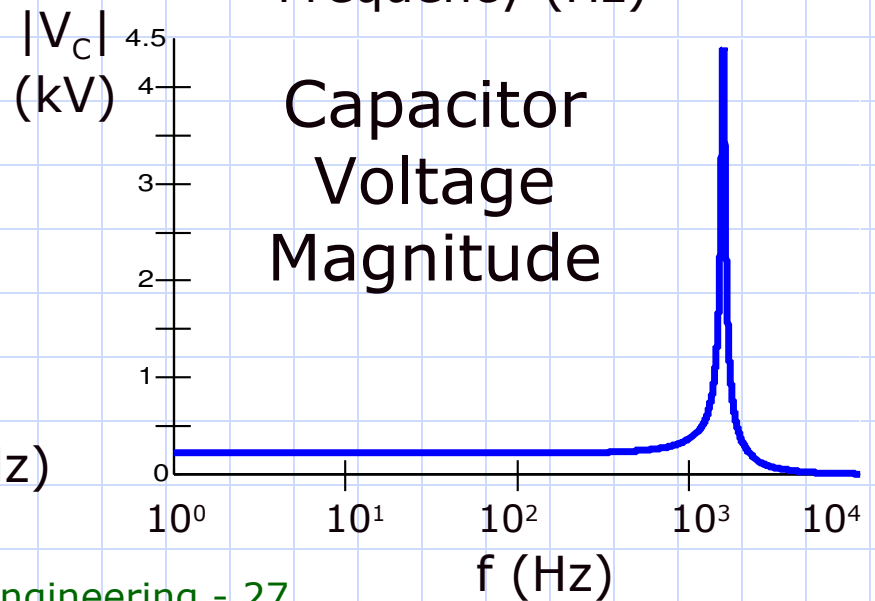
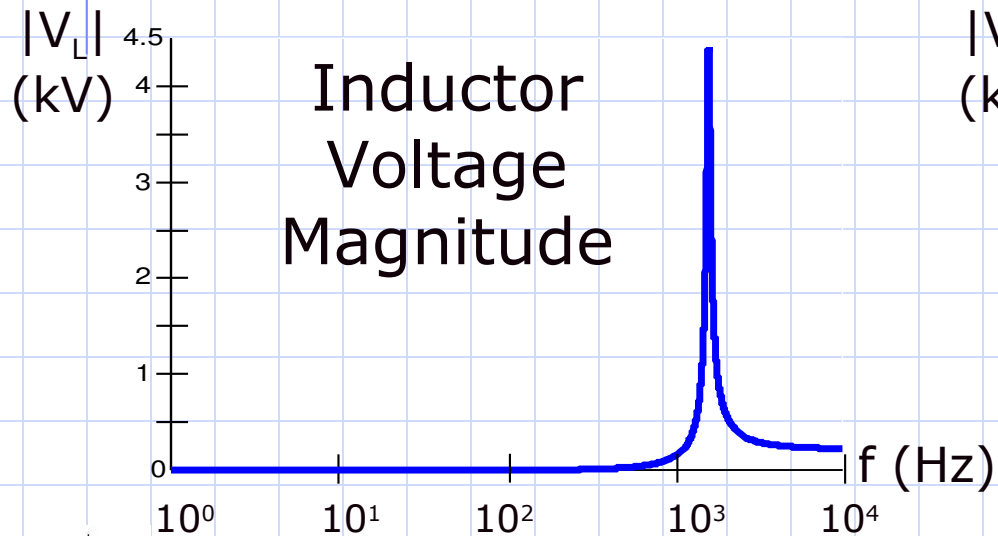
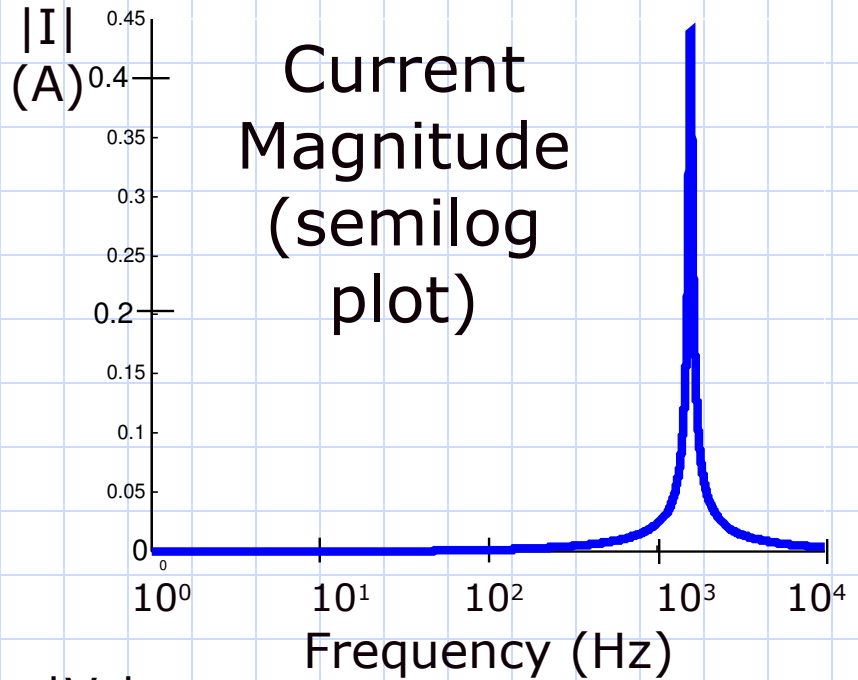
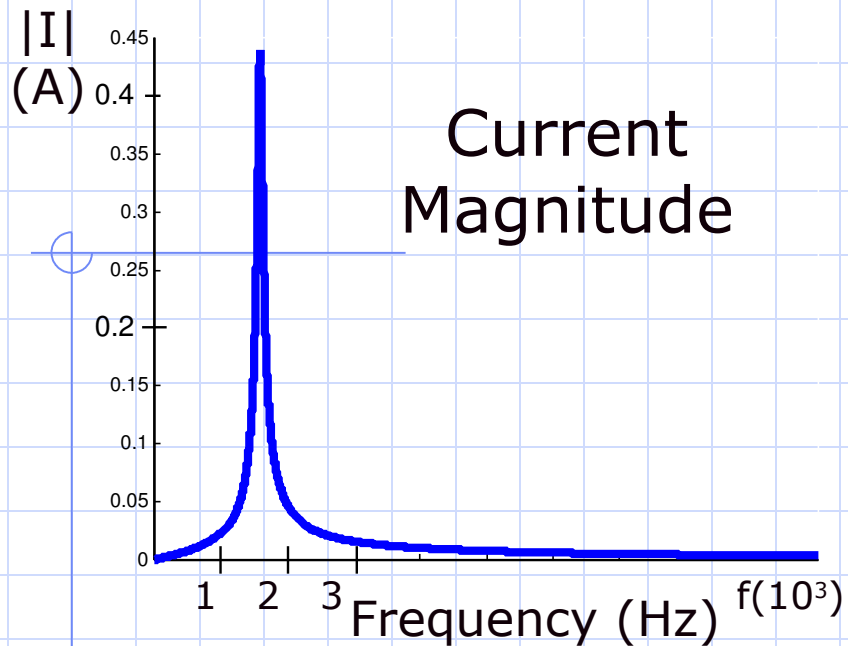
$$Q = \frac{\omega_o}{BW} = 20$$

The voltages across L and C at resonance

$$\vec{V}_L = QV \angle 90^\circ = 4,400 \angle 90^\circ \text{ V RMS}$$

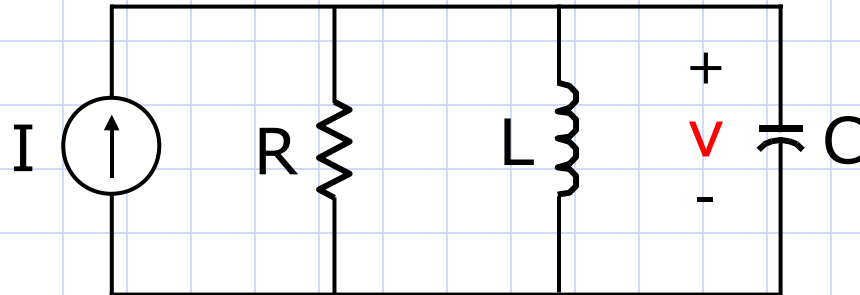
$$\vec{V}_C = QV \angle -90^\circ = 4,400 \angle -90^\circ \text{ V}_{\text{RMS}}$$





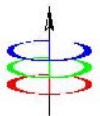
# Natural Frequency - Parallel RLC

Recall the transient response of the parallel RLC network.



Characteristic Equation: 
$$s^2 + \frac{1}{RC}s + \frac{1}{LC} = 0$$

Roots: 
$$s_1, s_2 = -\frac{1}{2RC} \pm \sqrt{\left(\frac{1}{2RC}\right)^2 - \frac{1}{LC}}$$



The circuit is underdamped when  $\left[ \frac{1}{2RC} \right]^2 < \frac{1}{LC}$

We get  $v_t = e^{-\alpha t} (K_1 \cos \omega_d t + K_2 \sin \omega_d t)$

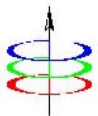
where

$$\omega_d = \sqrt{\omega_o^2 - \alpha^2} \quad \alpha = \frac{1}{2RC} \quad \omega_o = \frac{1}{\sqrt{LC}}$$

The circuit is undamped when  $R = \infty$ . We get

$$v_t = K_1 \cos \omega_o t + K_2 \sin \omega_o t$$

**Note:**  $\omega_o$  is the natural frequency of the circuit.

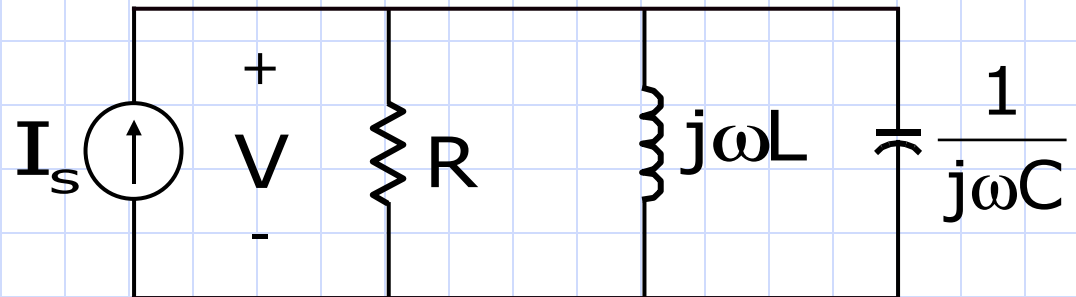


# Parallel Resonance

Consider a parallel RLC network with a current source  $i(t) = I_m \cos \omega t$  amps, where  $I_m$  is constant and  $\omega$  is variable. Find the magnitude of the voltage as  $\omega$  is varied.

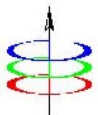
Transformed Network

Let  $I_s = I \angle 0^\circ$



Input admittance

$$Y_{in} = \frac{1}{R} + j \left[ \omega C - \frac{1}{\omega L} \right]$$



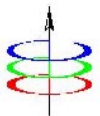
Solving for the voltage, we get

$$\vec{V} = \frac{\vec{I}_s}{Y_{in}} = \frac{I \angle 0^\circ}{\frac{1}{R} + j(\omega C - \frac{1}{\omega L})}$$

The voltage magnitude is

$$V = \frac{I}{|Y_{in}|} = \frac{I}{\sqrt{\frac{1}{R^2} + \left[\omega C - \frac{1}{\omega L}\right]^2}}$$

- Note:**
1. When  $\omega = 0$ , the voltage is zero.
  2. As  $\omega \rightarrow \infty$ , the voltage approaches zero.



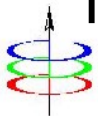
The circuit is at **resonance** when the magnitude of the voltage is maximum. The frequency is called the resonant frequency  $\omega_o$ .

$$\omega_o C - \frac{1}{\omega_o L} = 0$$

or

$$\omega_o = \frac{1}{\sqrt{LC}} \text{ rad/sec}$$

**Note:** Recall that  $\omega_o$  is the natural frequency of the parallel RLC network. When a sinusoidal source of frequency  $\omega_o$  is applied to the parallel RLC network, resonance occurs.





# Parallel Resonant Conditions

- (1) The frequency of the applied current source is

$$\omega_o = \frac{1}{\sqrt{LC}} \text{ rad/sec}$$

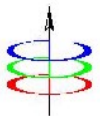
- (2) The input admittance is **REAL** and is a minimum.

$$Y_{in} = 1 / R$$

- (3) The source voltage and current are in phase.  
The input power factor is unity.

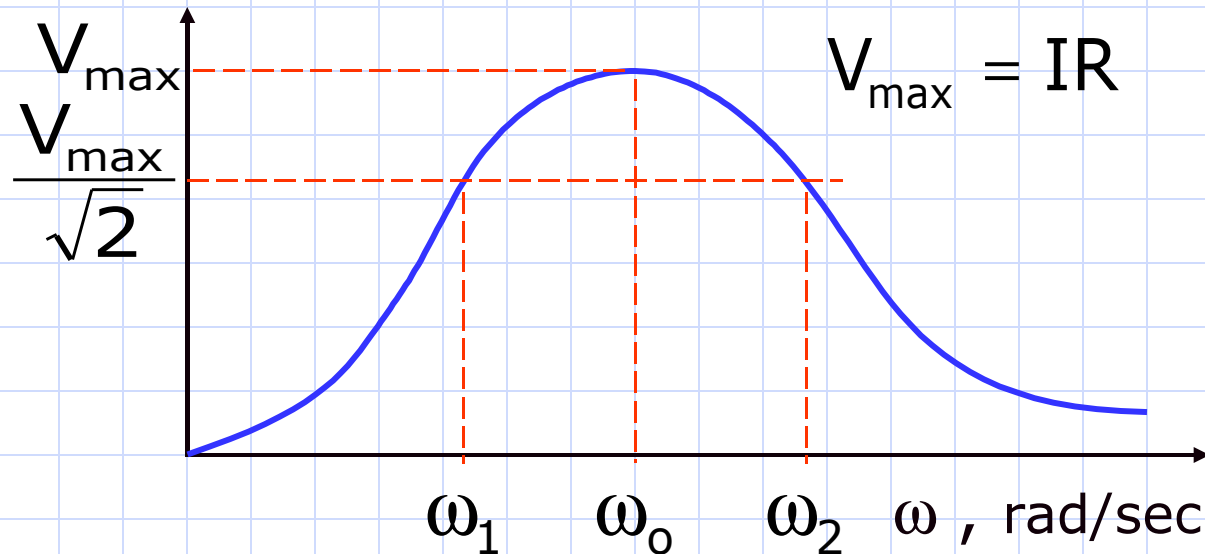
- (4) The voltage magnitude is  $V = IR$ , a maximum.

- (5) The parallel RLC network is an **electric filter**.

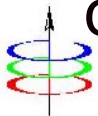


# Voltage Magnitude vs. Frequency

A plot of the voltage magnitude versus frequency is shown below.



Define frequencies  $\omega_1$  and  $\omega_2$ , where the magnitude of the voltage is 0.707 of the maximum value.



At  $\omega = \omega_1$  or  $\omega = \omega_2$ , we get

$$V = \frac{1}{\sqrt{2}} V_{\max} = \frac{1}{\sqrt{2}} IR$$

or

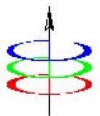
$$\frac{I}{\sqrt{\frac{1}{R^2} + \left[ \omega C - \frac{1}{\omega L} \right]^2}} = \frac{1}{\sqrt{2}} IR$$

Simplifying, we get

$$\frac{1}{R^2} + \left( \omega C - \frac{1}{\omega L} \right)^2 = \frac{2}{R^2}$$

or

$$\omega C - \frac{1}{\omega L} = \pm \frac{1}{R}$$



At  $\omega = \omega_2$ ,

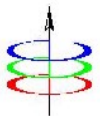
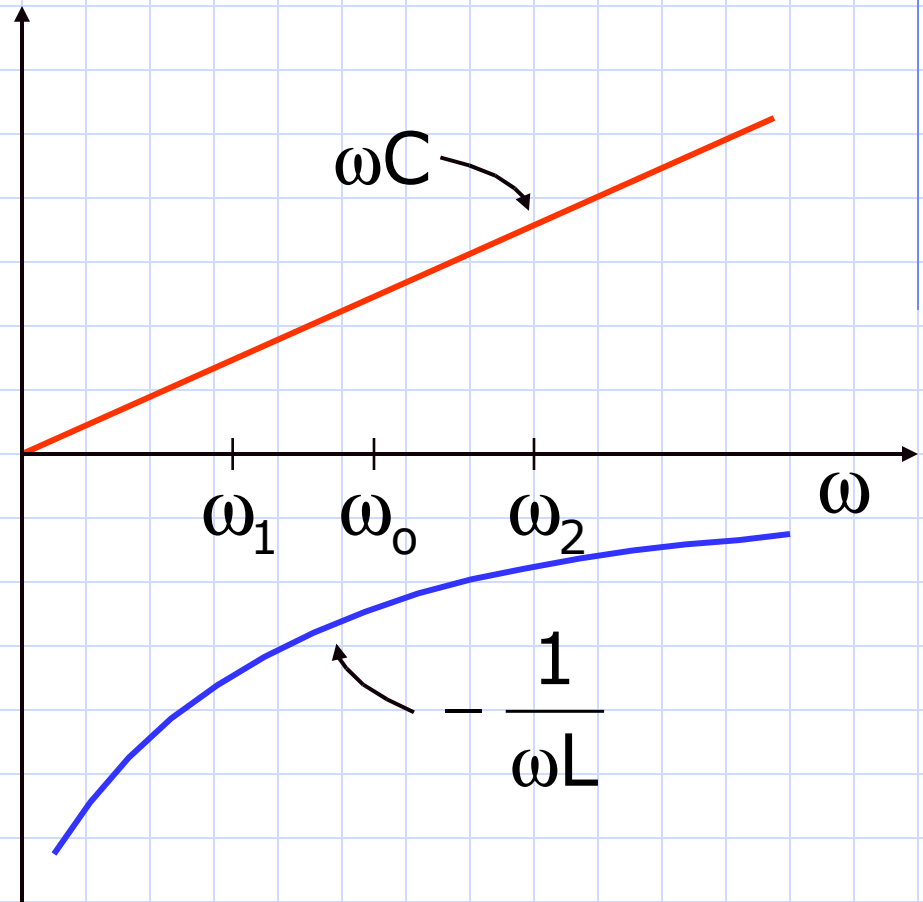
$$\omega_2 C - \frac{1}{\omega_2 L} = + \frac{1}{R}$$

At  $\omega = \omega_1$ ,

$$\omega_1 C - \frac{1}{\omega_1 L} = - \frac{1}{R}$$

**Note:** At  $\omega = \omega_o$ ,

$$\omega_o C - \frac{1}{\omega_o L} = 0$$



Solve for  $\omega_1$ . From  $\omega_1 C - \frac{1}{\omega_1 L} = -\frac{1}{R}$ , we get

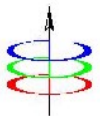
$$\omega_1^2 + \frac{1}{RC} \omega_1 - \frac{1}{LC} = 0$$

which gives

$$\omega_1 = -\frac{1}{2RC} + \sqrt{\left(\frac{1}{2RC}\right)^2 + \frac{1}{LC}} \text{ rad/sec}$$

Similarly, we get for  $\omega_2$

$$\omega_2 = \frac{1}{2RC} + \sqrt{\left(\frac{1}{2RC}\right)^2 + \frac{1}{LC}} \text{ rad/sec}$$



# Bandwidth and Quality Factor

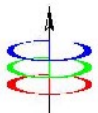
The range of frequency for which the magnitude of the voltage is greater than or equal to 0.7071 of the maximum value is called the **bandwidth**.

$$BW = \omega_2 - \omega_1 = \frac{1}{RC} \text{ rad/sec}$$

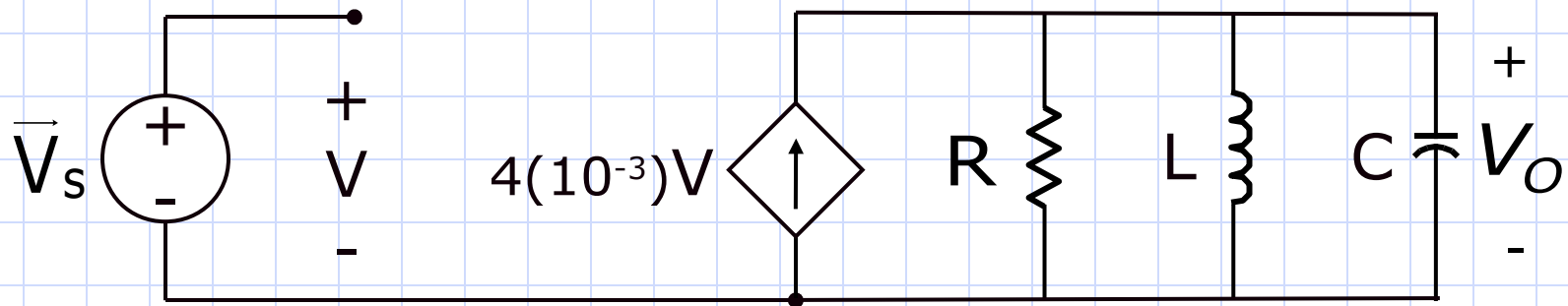
The **quality factor**  $Q$  is defined as the ratio of the resonant frequency to the bandwidth.

$$Q = \frac{\omega_0}{BW} = \omega_0 RC = \frac{R}{\omega_0 L} \text{ rad/sec}$$

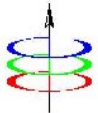
**Note:** It can be shown that  $\omega_0 = \sqrt{\omega_1 \omega_2}$ .

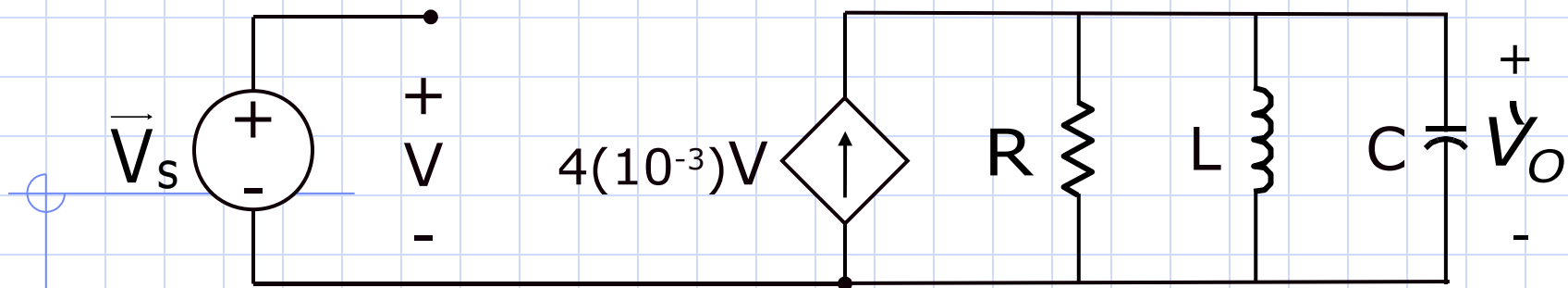


**Example:** The antenna of an FM radio picks up signals across the range of 87 MHz to 110 MHz. The radio's circuitry must be able to reject other signals except the station you want to tune in to and amplify this signal as well. The circuit below operating at resonance can perform both of this.



Determine the values of  $R$ ,  $L$  and  $C$  that would allow you to tune in to your favorite station (91.1 MHz), boost this signal by 100 and have a quality factor of 40 at this frequency.





At resonance,  $\vec{V}_o = \vec{I} R$

$$\vec{V}_o = 4(10^{-3})\vec{V}_s R \quad (1)$$

At the resonant frequency, we want the output voltage to be

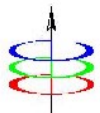
$$\vec{V}_o = 100\vec{V}_s \quad (2)$$

Equating (1) and (2), we get

$$4(10^{-3})\vec{V}_s R = 100\vec{V}_s$$

$$4(10^{-3})R = 100$$

$$R = 25 \text{ k}\Omega$$





We wish to achieve resonance at 91.1 MHz.

$$\omega_o = 2\pi f_o = 572.4 \times 10^6 \text{ rad/sec}$$

Quality factor is defined as  $Q = \frac{\omega_o}{BW}$  and the bandwidth of a parallel resonant circuit is  $\frac{1}{RC}$

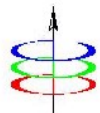
Thus, we get

$$BW = \frac{\omega_o}{Q} = \frac{1}{RC}$$

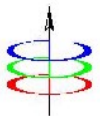
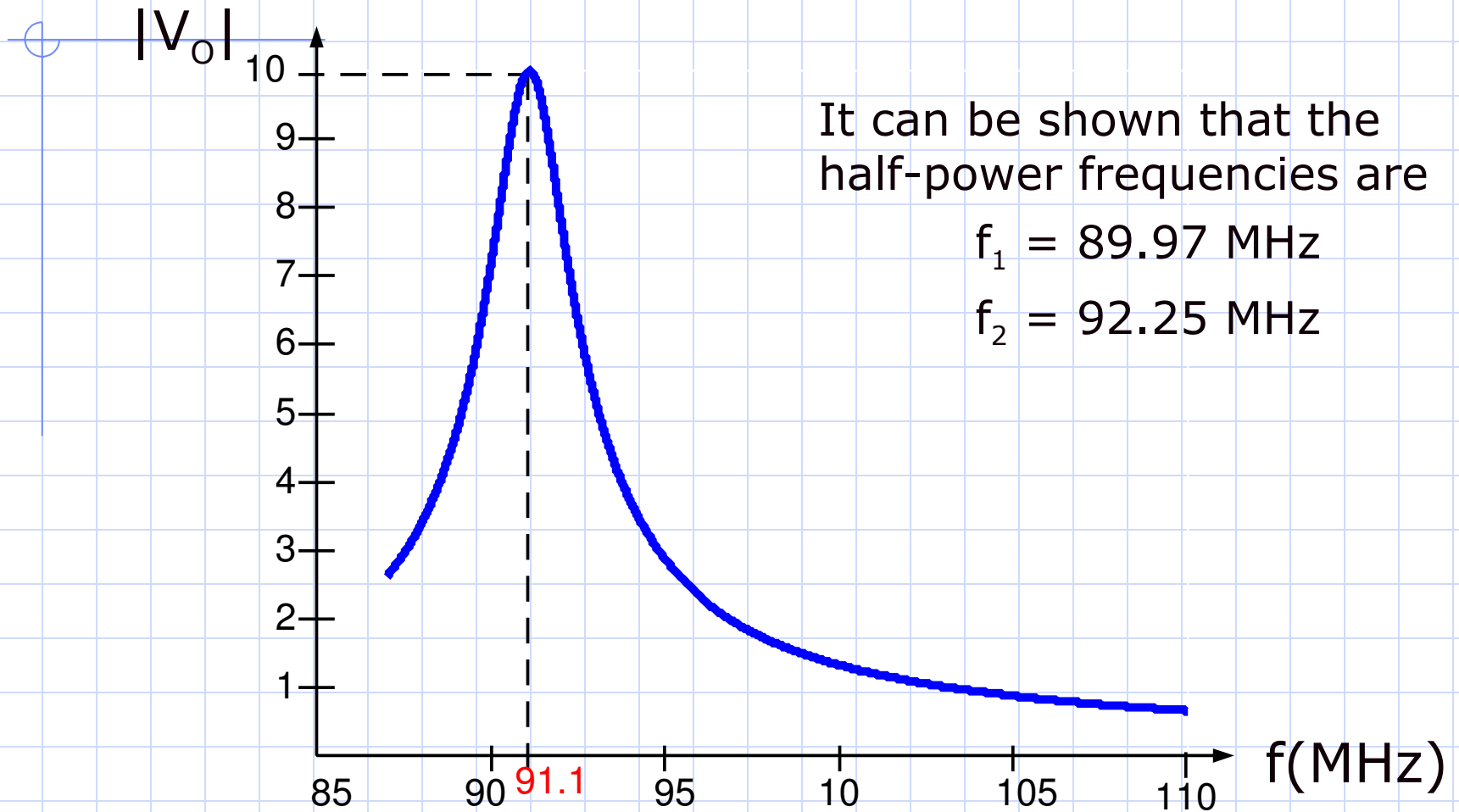
$$\text{or } C = \frac{Q}{\omega_o R} = \frac{40}{572.4(10^6) \cdot 25(10^3)} = 2.795 \text{ pF}$$

The inductor value is found by

$$\omega_o = \frac{1}{\sqrt{LC}} \longrightarrow L = \frac{1}{\omega_o^2 C} = 1.092 \mu\text{H}$$

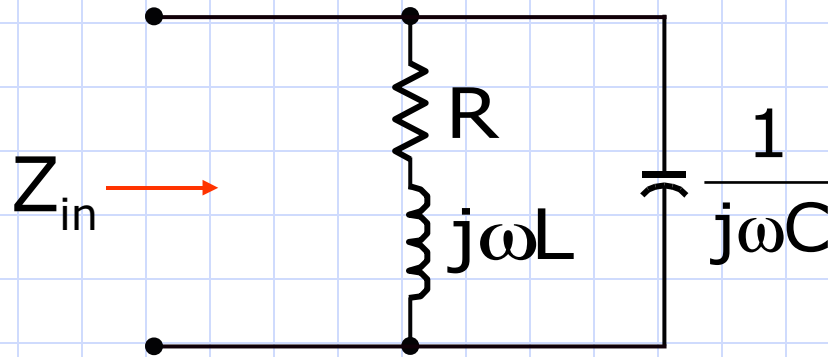


Below is a plot of  $|V_o|$  given  $|V_s| = 100 \text{ mV}$ .



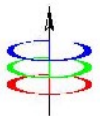
# Two-Branch Parallel-Resonance

Consider the circuit shown. If we drive the circuit with a current source, maximum voltage will be achieved at resonance.



The input admittance is

$$Y_{in} = \frac{1}{R + j\omega L} + j\omega C$$



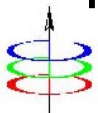
Rationalize the first term

$$Y_{in} = \frac{1}{R + j\omega L} \cdot \frac{R - j\omega L}{R - j\omega L} + j\omega C$$
$$= \frac{R - j\omega L}{R^2 + \omega^2 L^2} + j\omega C$$

Separate the real from the imaginary term

$$Y_{in} = \frac{R}{R^2 + \omega^2 L^2} + j \left[ \omega C - \frac{\omega L}{R^2 + \omega^2 L^2} \right]$$

At resonance, the input admittance must be **minimum**. However, deriving the resonant frequency this way is difficult.



Let's adopt slightly different definition of resonance: when input admittance is **real**.  
Set the imaginary component to zero. We get

$$\omega_o C = \frac{\omega_o L}{R^2 + \omega_o^2 L^2}$$

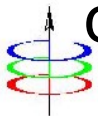
Simplifying, we get

$$R^2 + \omega_o^2 L^2 = \frac{L}{C}$$

or

$$\omega_o = \sqrt{\frac{1}{LC} - \left(\frac{R}{L}\right)^2} \quad \text{rad/sec}$$

**Note:** If the first term is very much greater than the second term,  $\omega_o$  is approximately equal to that of the series or parallel RLC network.



# Resonance with Constant $\omega$

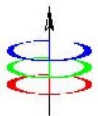
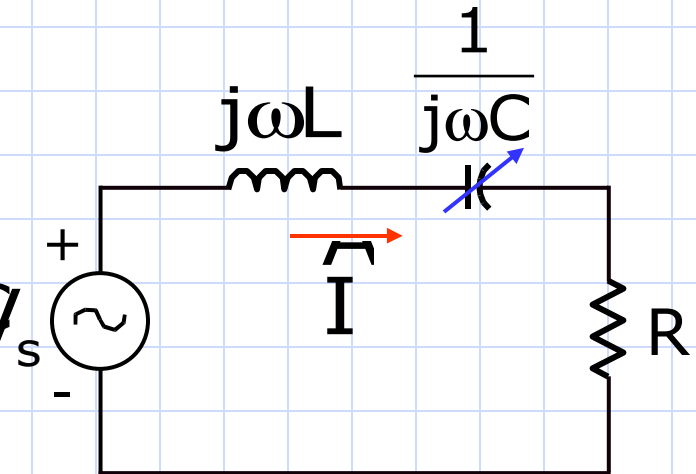
Resonance can still be achieved for a network with a constant angular frequency if either L or C is varied. Consider the series RLC network shown.

Let  $v_s(t) = V_m \cos \omega t$  volts,  
where  $V_m$  and  $\omega$  are


constant. Let us find the  
magnitude of the current as  $V_s$

is varied.  
The phasor current is

$$\mathbf{I} = \frac{\mathbf{V}_s}{Z_{in}} = \frac{V \angle 0^\circ}{R + j \left[ \omega L - \frac{1}{\omega C} \right]}$$



The magnitude is


$$I = \frac{V}{|Z_{in}|} = \frac{V}{\sqrt{R^2 + \left[ \omega L - \frac{1}{\omega C} \right]^2}}$$

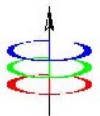
The magnitude of the current is maximum when

$$\omega L - \frac{1}{\omega C} = 0$$

or

$$C = \frac{1}{\omega^2 L}$$

**Note:** The capacitance is set so that the circuit is tuned to a chosen frequency.

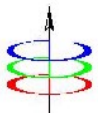


# Electric Filters

Networks consisting of inductors and/or capacitors that are used to pass signals at certain frequencies and block signals at other frequencies are called **electric filters**.

There are several types of filters:

- (1) A **low-pass filter** will block a sinusoid whose frequency is higher than a cutoff value.
- (2) A **high-pass filter** will block a sinusoid whose frequency is lower than a cutoff value.
- (3) A **band-pass** (band-reject / **notch**) filter will pass (block) any sinusoid whose frequency falls within a specified range.





# Definitions

⊖ **Voltage gain,  $G_v(\omega)$**  – ratio of output voltage to input voltage  $G_v(\omega) = \frac{\overrightarrow{V_o}}{\overrightarrow{V_i}}$

**Passband** – range of frequencies wherein the voltage gain is greater than some value  $\alpha_{\max}$

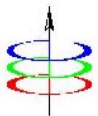
**Stopband** – range of frequencies wherein the voltage gain is less than some value  $\alpha_{\min}$

**Cut-off Frequency,  $\omega_c$**  – frequency at which the magnitude of the voltage gain is 0.7071 of its maximum value

$$\omega_c = \frac{1}{\sqrt{2}} (\max |G_v(\omega)|)$$

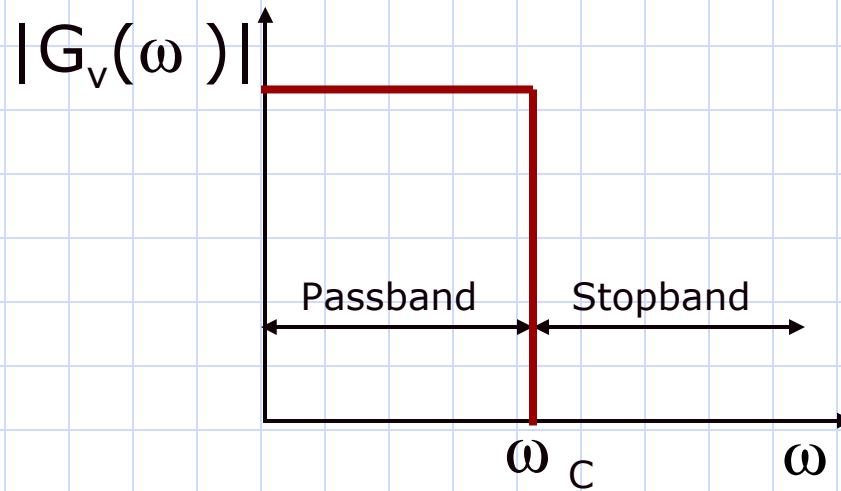
Half-power frequency

Note: At unity gain,  $|V_o(\omega_c)| = 0.7071 V_i$

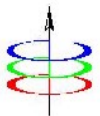
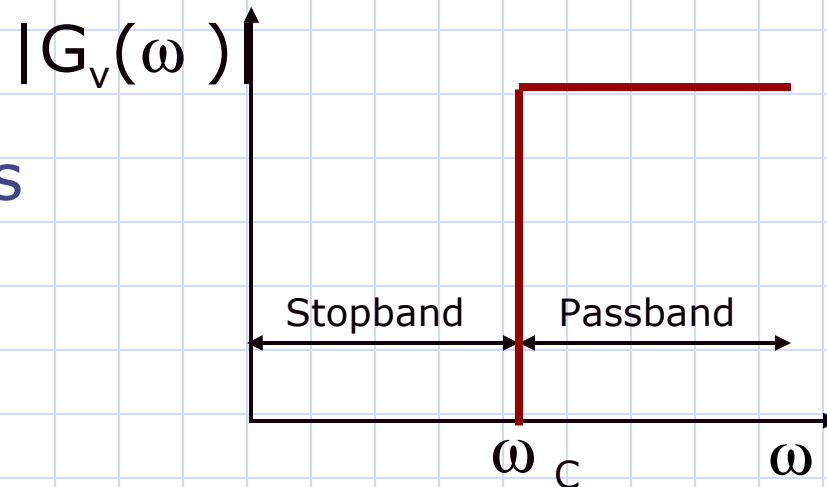


# Ideal Filter Response

Low-pass  
Filter

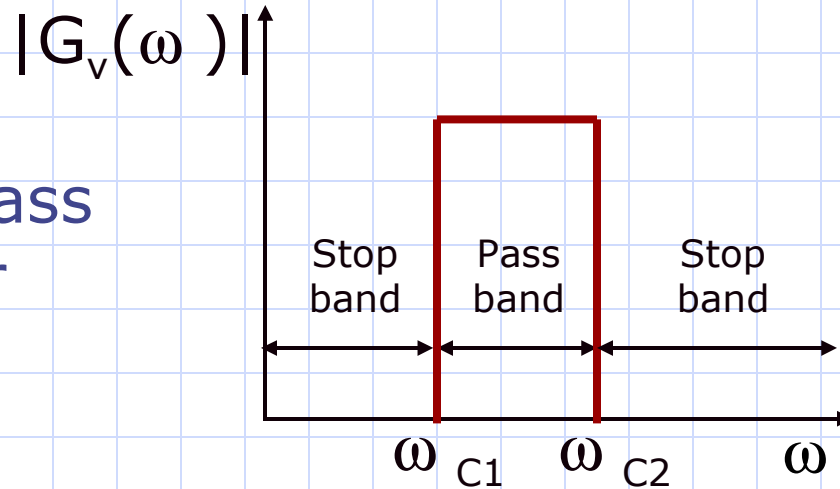


High-pass  
Filter

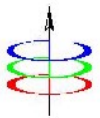
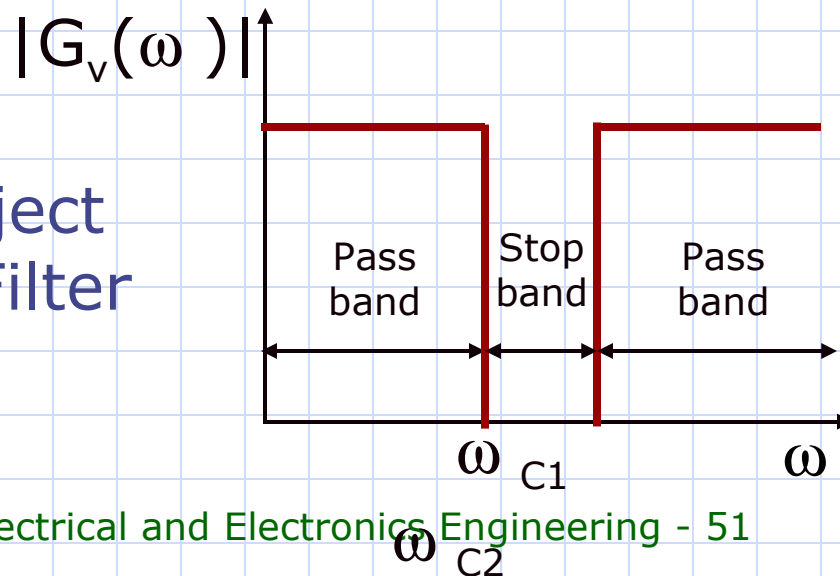


# Ideal Filter Response

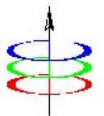
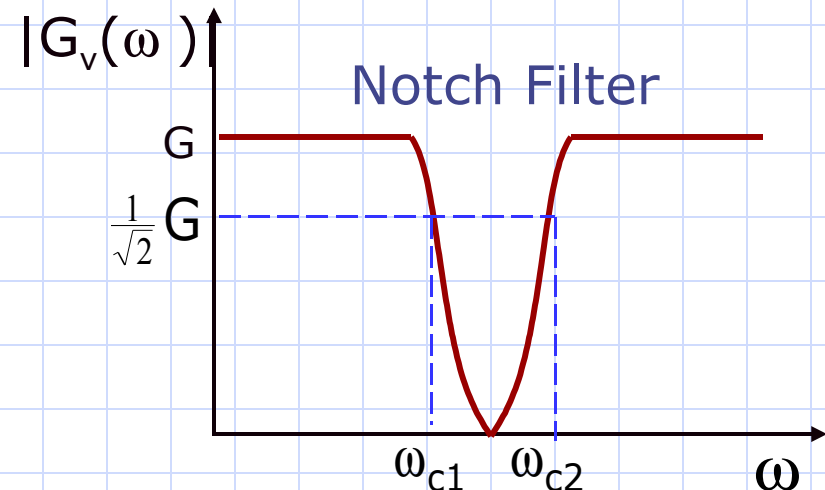
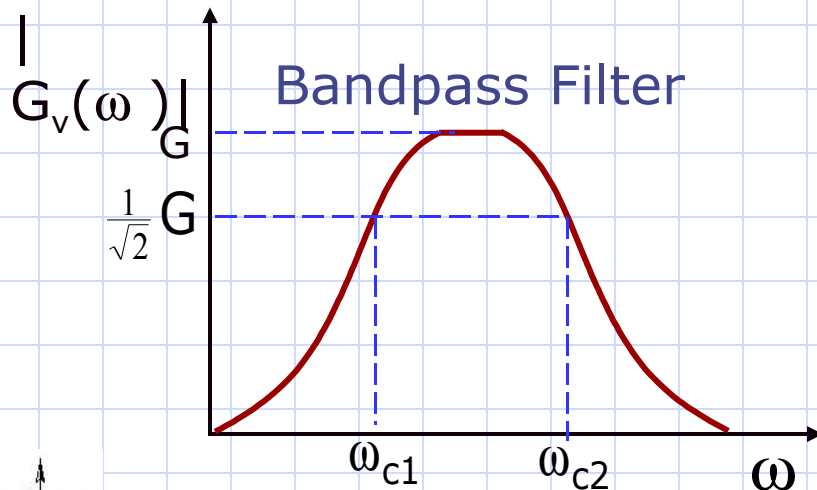
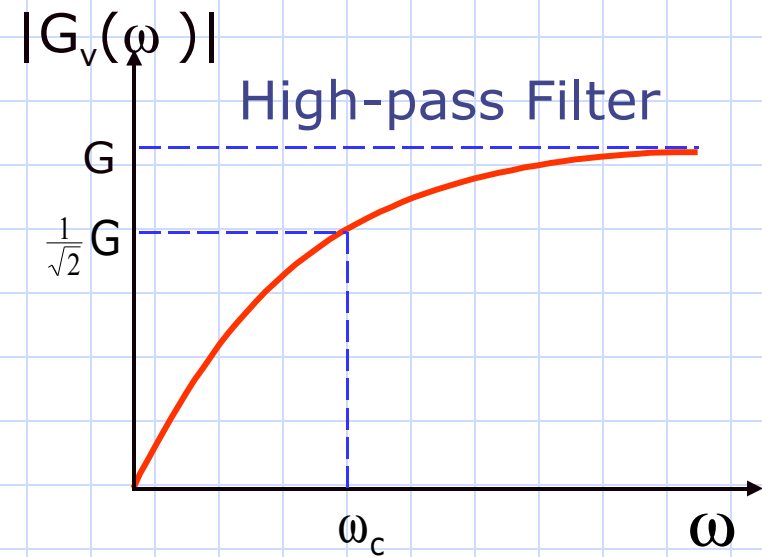
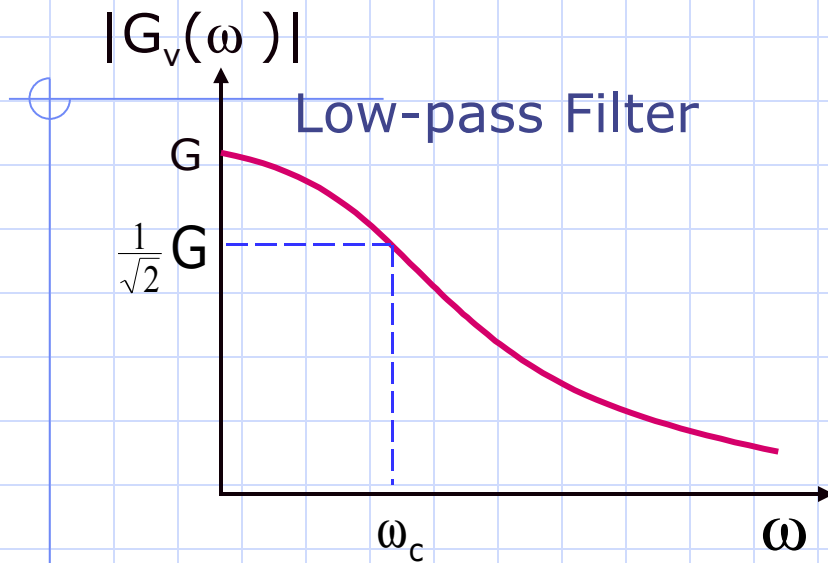
Band-pass  
Filter



Band-reject  
(Notch) Filter



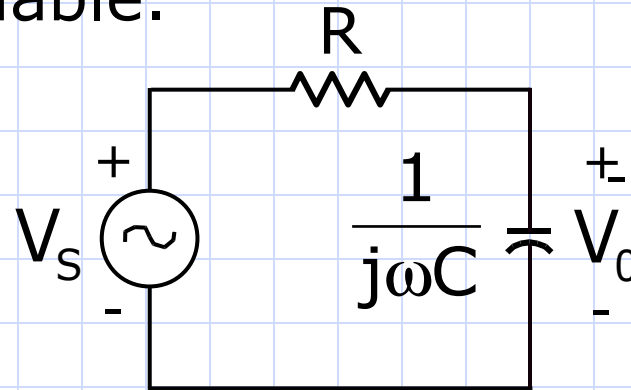
# Practical Filter Frequency Response



# Low-Pass Filter

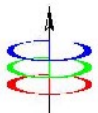
Consider the series RC circuit shown. The source is given as  $V_s(t) = V_m \cos \omega t$  volts, where  $V_m$  is constant and  $\omega$  is variable.

$$V_S = V_{\text{RMS}} \angle 0^\circ$$



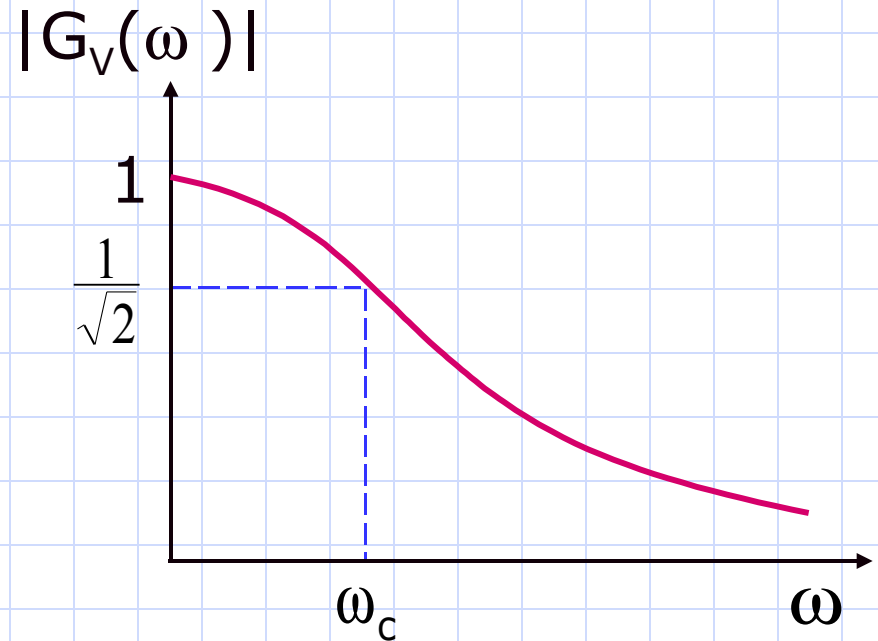
Using voltage division, the output voltage is

$$\vec{V}_0 = \frac{1 / j\omega C}{R + 1 / j\omega C} \vec{V}_S = \frac{1}{1 + j\omega RC} V_S$$



The **voltage gain** is

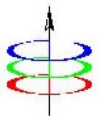
$$G_v(j\omega) = \frac{V_o}{V_s}$$
$$= \frac{1}{1 + j\omega RC}$$



And the magnitude is

$$|G_v(\omega)| = \frac{1}{\sqrt{1 + \omega^2 R^2 C^2}}$$

- Note:**
1. When  $\omega = 0$ ,  $V_o = V$ . As  $\omega \rightarrow \infty$ ,  $V_o \rightarrow 0$ .
  2.  $V_o$  decreases with frequency.
  2. The circuit is called a **low-pass filter**.



The cut-off frequency is defined as the value of  $\omega$  when  $V_o = 0.707 V_{\max}$ .

$$V_o = \frac{1}{\sqrt{1 + (\omega_c RC)^2}} V_s = \frac{1}{\sqrt{2}} V_s$$

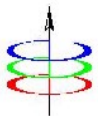
which gives

$$1 + (\omega_c RC)^2 = 2$$

or

$$\omega_c = \frac{1}{RC} \quad \text{rad/sec}$$

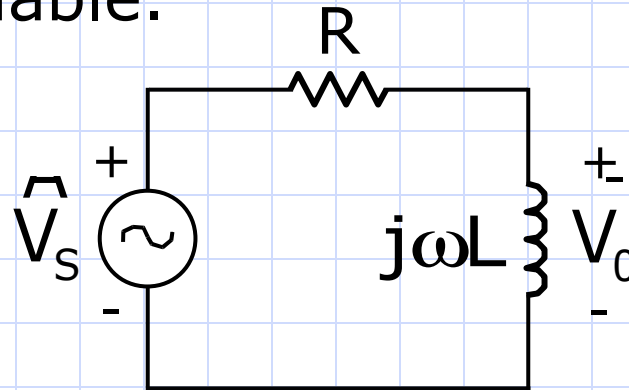
**Note:** Recall for an RC circuit,  $\tau = RC$ .



# High-Pass Filter

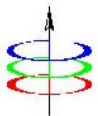
Consider the series RL circuit shown. The source is described by  $v_s(t) = V_m \cos \omega t$  volts, where  $V_m$  is constant and  $\omega$  is variable.

$$V_S = V \angle 0^\circ$$



Using voltage division, the output voltage is

$$\hat{V}_0 = \frac{j\omega L}{R + j\omega L} V_S$$



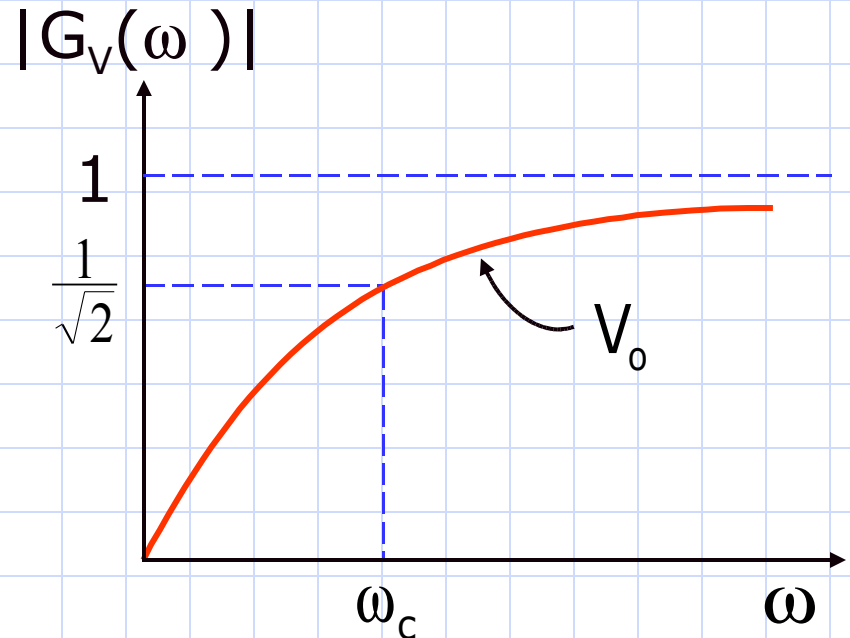


The **voltage gain** is

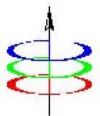
$$G_V(j\omega) = \frac{j\omega L}{R + j\omega L}$$

And the magnitude is

$$|G_V(\omega)| = \frac{\omega L}{\sqrt{R^2 + \omega^2 L^2}}$$



- Note:**
1. When  $\omega = 0$ ,  $V_o = 0$ . As  $\omega \rightarrow \infty$ ,  $V_o \rightarrow V$ .
  2.  $V_o$  increases with frequency.
  2. The circuit is called a **high-pass filter**.



The cut-off frequency is defined as the value of  $\omega$  when  $V_o = 0.707 V_{\max}$ .

$$V_o = \frac{\omega_c L V}{\sqrt{R^2 + \omega_c^2 L^2}} = \frac{1}{\sqrt{2}} V$$

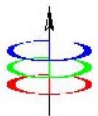
which gives

$$R^2 + \omega_c^2 L^2 = 2\omega_c^2 L^2$$

or

$$\omega_c = \frac{R}{L} \quad \text{rad/sec}$$

**Note:** Recall for an RL circuit,  $\tau = L/R$ .

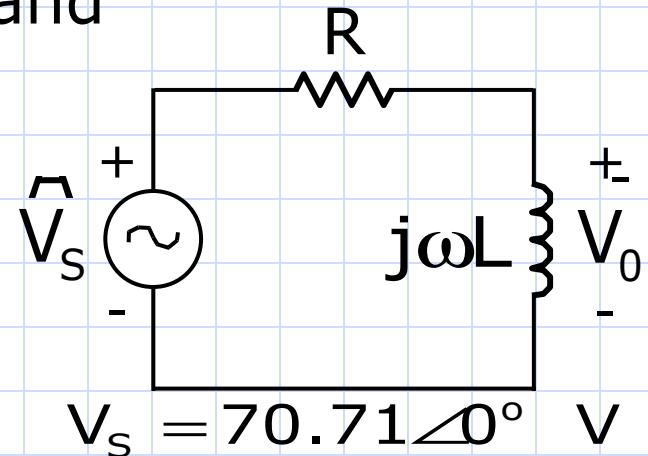


**Example:** Consider a high-pass filter with  $R=100\Omega$  and  $L=20\text{mH}$ . Find the output voltage when the input is  $v_s=100 \cos \omega t$  volts, and

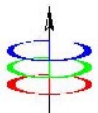
a)  $\omega = 500 \text{ rad/sec}$

b)  $\omega = 10,000 \text{ rad/sec}$

a)  $Z_L = j(500)(0.02) = j10\Omega$



$$\begin{aligned} V_o &= \frac{j10}{100 + j10} (70.71 \angle 0^\circ) \\ &= \frac{10 \angle 90^\circ}{100.5 \angle 5.71^\circ} (70.71) = 7.04 \angle 84.3^\circ \text{ V} \end{aligned}$$



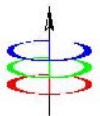
We get  $v_o = 9.95 \cos(500t + 83.4^\circ) \text{ V}$

b)  $Z_L = j(10,000)(0.02) = j200\Omega$

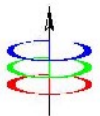
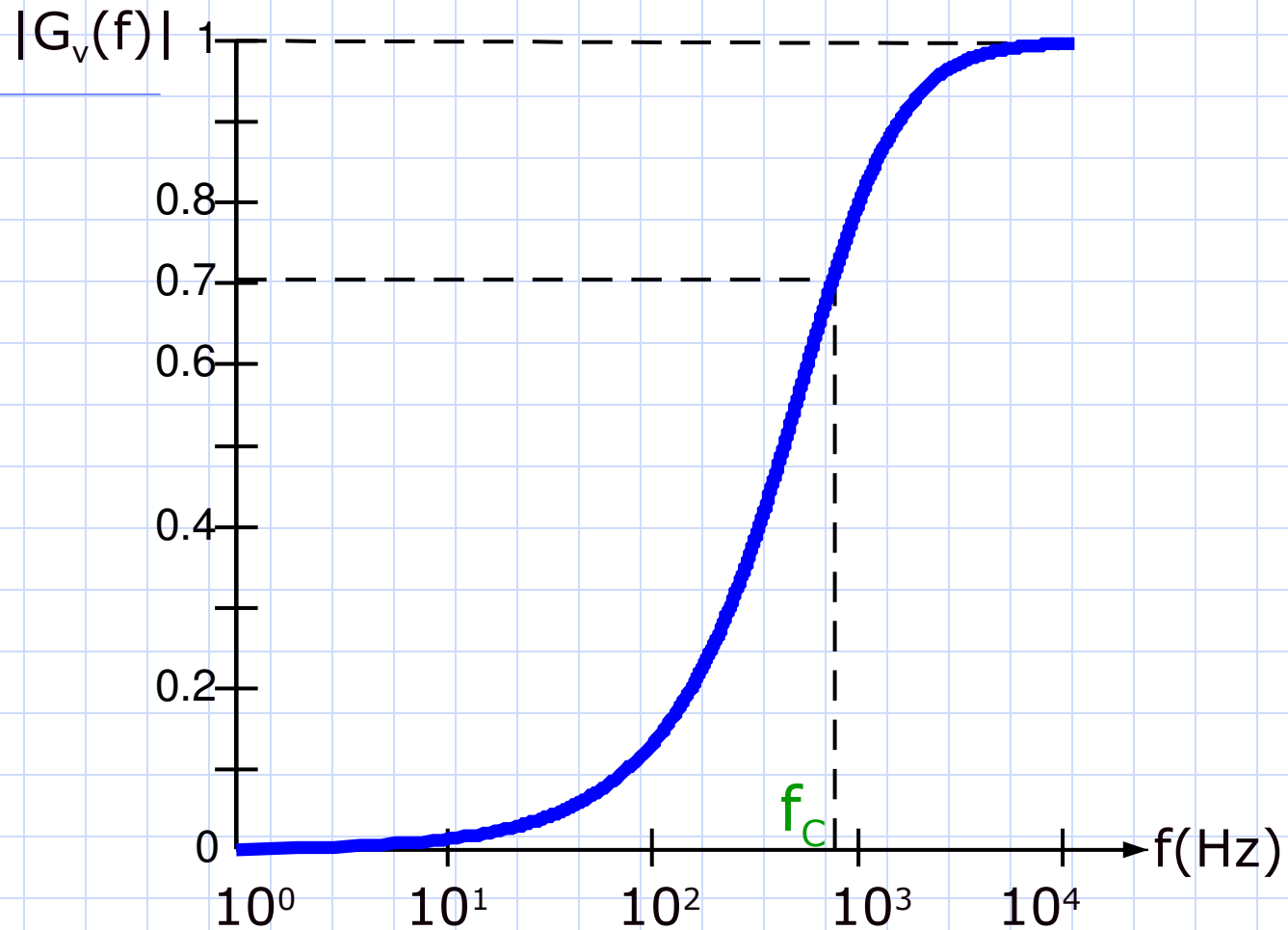
$$\begin{aligned} V_o &= \frac{j200}{100 + j200} (70.71 \angle 0^\circ) \\ &= \frac{200 \angle 90^\circ}{223.6 \angle 63.43^\circ} (70.71) = 63.24 \angle 26.6^\circ \text{ V} \end{aligned}$$

We get  $v_o = 89.4 \cos(10,000t + 26.6^\circ) \text{ V}$

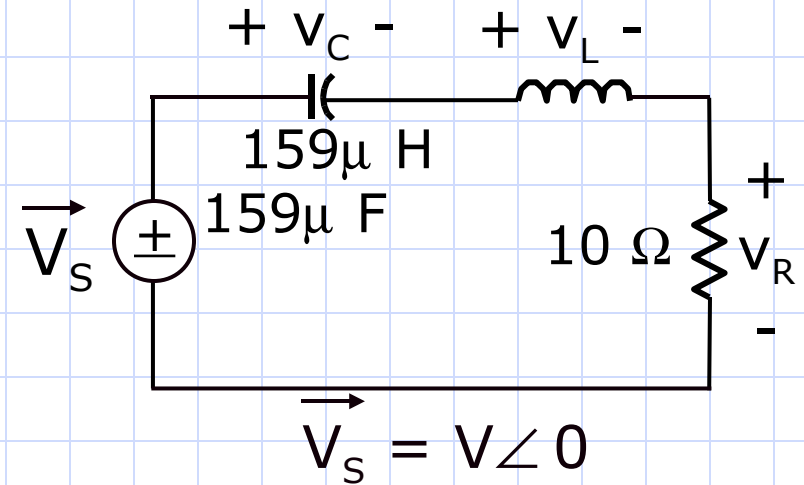
**Note:** The cutoff frequency for this filter can be shown to be 5,000 rad/sec (795.8 Hz).



## Voltage gain vs Frequency



**Example:** Given the series RLC network. Show that we can produce different filter types by getting the output across the various elements.

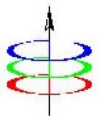


The voltage across the capacitor is

$$V_C = \frac{\frac{1}{j\omega C}}{R + j\omega L + \frac{1}{j\omega C}} V = \frac{\frac{1}{LC}}{(j\omega)^2 + j\omega\left(\frac{R}{L}\right) + \frac{1}{LC}} V$$

Thus, the voltage gain at the capacitor is given by

$$G_V(\omega)_C = \frac{39.6(10^6)}{-\omega^2 + 62.9(10^3)j\omega + 39.6(10^6)}$$



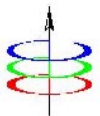
And the magnitude of the gain is

$$|G_V(\omega)|_C = \frac{39.6 \times 10^6}{\sqrt{(62.9 \times 10^3 \omega)^2 + (39.6 \times 10^6 - \omega^2)^2}}$$

At  $\omega = 0$ , we get  $|G_V(\omega)|_C = 1$ . As  $\omega$  approaches infinity,  $|G_V(\omega)|_C \rightarrow 0$ . We have a **low-pass filter** by getting  $V_O$  across the capacitor.

Similarly, we can get the gain across the inductor

$$G_V(\omega)_L = \frac{j\omega L}{R + j\omega L + \frac{1}{j\omega C}} = \frac{-\omega^2}{(j\omega)^2 + j\omega(\frac{R}{L}) + \frac{1}{LC}}$$
$$|G_V(\omega)|_L = \frac{\omega^2}{\sqrt{(62.9 \times 10^3 \omega)^2 + (39.6 \times 10^6 - \omega^2)^2}}$$



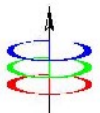
At low frequencies,  $|G_V(\omega)|_L = 0$ . As  $\omega$  approaches infinity, it can be shown that  $|G_V(\omega)|_L \rightarrow 1$ . We have a **high-pass filter** by getting  $V_o$  across the inductor.

The gain across the resistor is

$$G_V(\omega)_R = \frac{R}{R + j\omega L + \frac{1}{j\omega C}} = \frac{j\omega(R/L)}{(j\omega)^2 + j\omega(R/L) + \frac{1}{LC}}$$

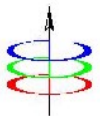
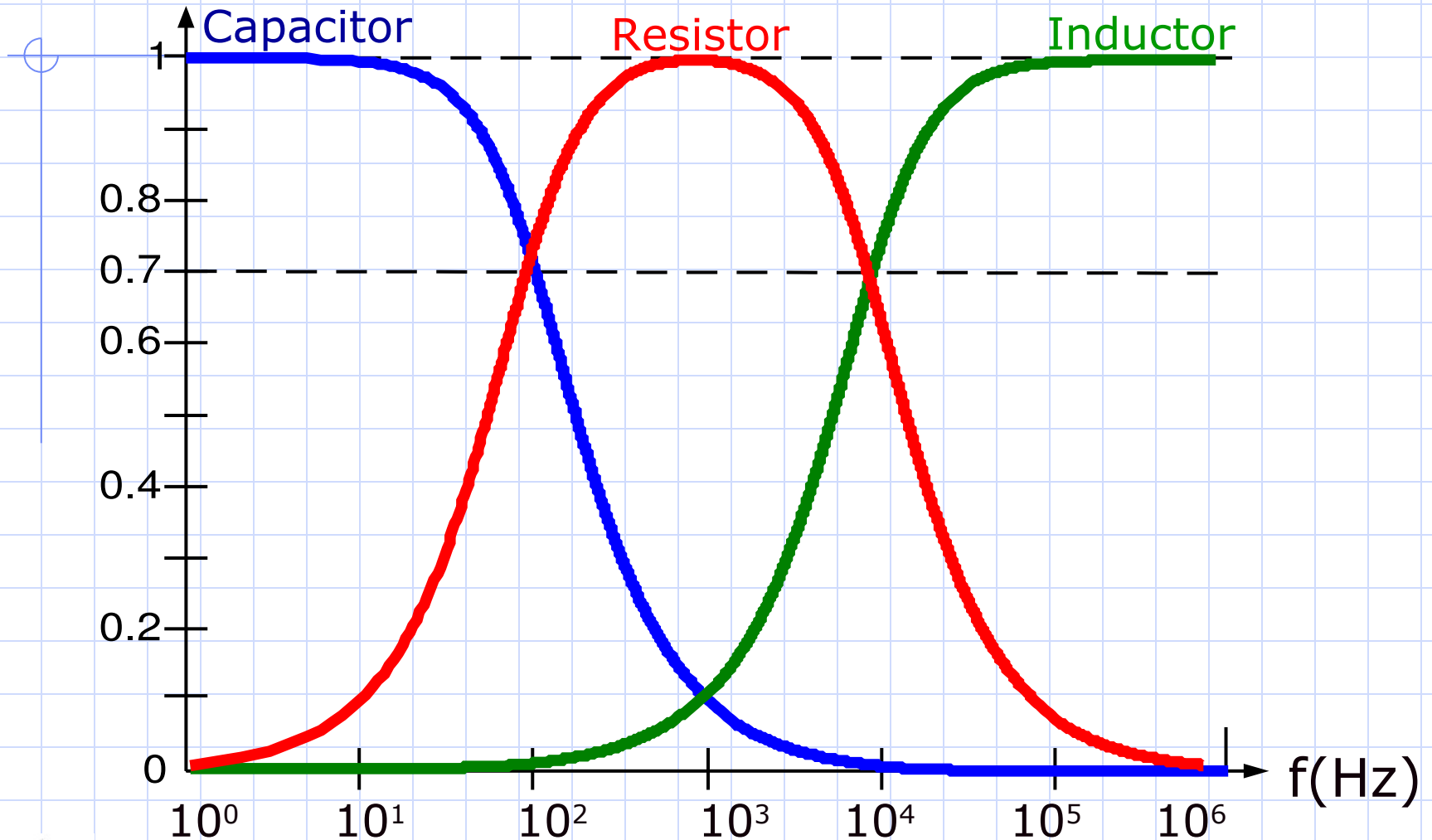
$$|G_V(\omega)|_R = \frac{62.8 \times 10^3 \omega}{\sqrt{(62.8 \times 10^3 \omega)^2 + (39.4 \times 10^6 - \omega^2)^2}}$$

Plot the gain at different frequencies to determine the response. We can see that we have a **band-pass filter** by getting  $V_o$  across the resistor.





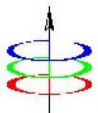
# Voltage gain vs Frequency for C, L, and R



# Active Filters

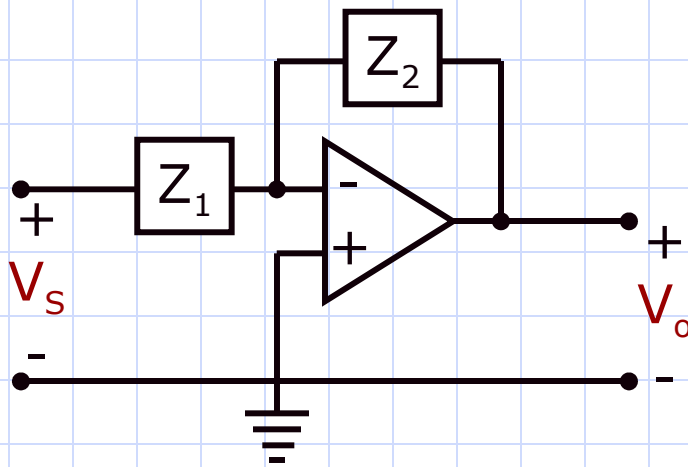
○ The filters we have discussed so far used inductors and/or capacitors only and are called **passive filters**. A drawback of passive filters is that it cannot generate a voltage gain greater than one. Also, inductors are generally bulky and are not readily available in precise values.

Filters utilizing operational amplifiers are called **active filters**. They are more commonly used in practice as they can amplify the signal ( $G_v(\omega) > 1$ ) and only use resistors and capacitors to generate the primary filter types.

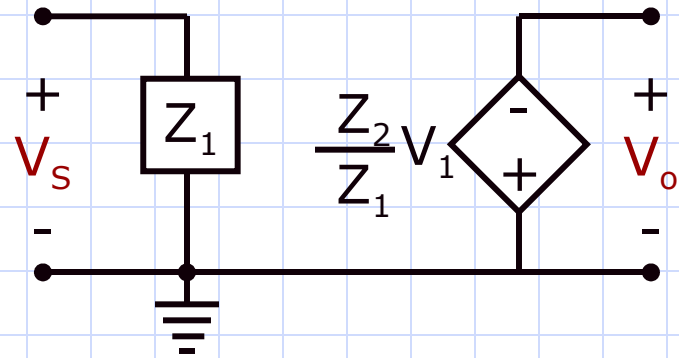


# Active Filters

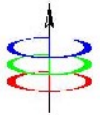
The equivalent circuit for the op-amp derived for the constant source is also valid for the sinusoidal steady-state case. Recall the equivalent circuit for the inverting amplifier:



Equivalent Circuit



The output voltage is 
$$\overline{V_o} = -\frac{Z_2}{Z_1} \overline{V_S}$$



# Active Low-Pass Filter

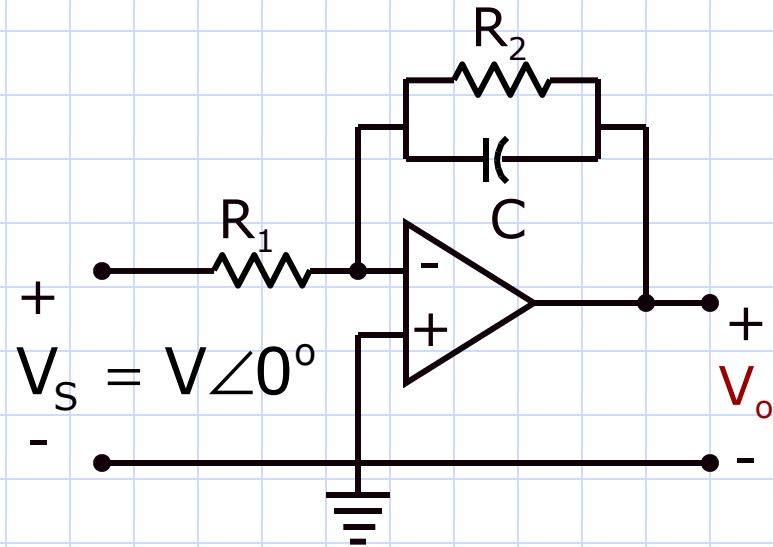
The equivalent impedance is:

$$Z_1 = R_1$$

and

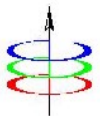
$$Z_2 = \frac{R_2 \parallel j\omega C}{R_2 + \frac{1}{j\omega C}}$$

$$Z_2 = \frac{R_2}{j\omega R_2 C + 1}$$



The output voltage for the inverting amplifier is:

$$\overline{V_o} = -\frac{Z_2}{Z_1} \overline{V_S}$$



For this circuit,

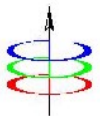
$$\overline{V_o} = - \frac{R_2 / j\omega R_2 C + 1}{R_1} \overline{V_s} = - \frac{R_2 / R_1}{j\omega R_2 C + 1} \overline{V_s}$$

The magnitude of the voltage gain is

$$|G_v(\omega)| = \frac{R_2 / R_1}{\sqrt{(\omega R_2 C)^2 + 1}}$$

**Note:**

1. When  $\omega = 0$ ,  $|V_o| = R_2 V / R_1$ . As  $\omega \rightarrow \infty$ ,  $V_o \rightarrow 0$ .
2.  $V_o$  decreases as frequency increases.
3. This is a **low-pass filter**.



Voltage is at a maximum when  $\omega = 0$ . The maximum voltage gain is

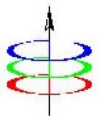
$$|G_v(\omega = 0)| = \left. \frac{R_2/R_1}{\sqrt{(\omega R_2 C)^2 + 1}} \right|_{\omega=0} = \frac{R_2}{R_1}$$

The cut-off frequency is  $\frac{1}{\sqrt{2}}$  of the maximum gain.  
Thus

$$\omega_c = \frac{R_2/R_1}{\sqrt{(\omega_c R_2 C)^2 + 1}} = \frac{1}{\sqrt{2}} \frac{R_2}{R_1}$$

which gives

$$1 + (\omega_c R_2 C)^2 = 2$$

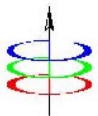


And the cut-off frequency is

$$\omega_c = \frac{1}{R_2 C} \quad \text{rad/sec}$$

**Note:**

1. The cut-off frequency is similar to the cut-off frequency for the equivalent passive filter.
2. The maximum gain is greater than one if we choose  $R_2 > R_1$ .



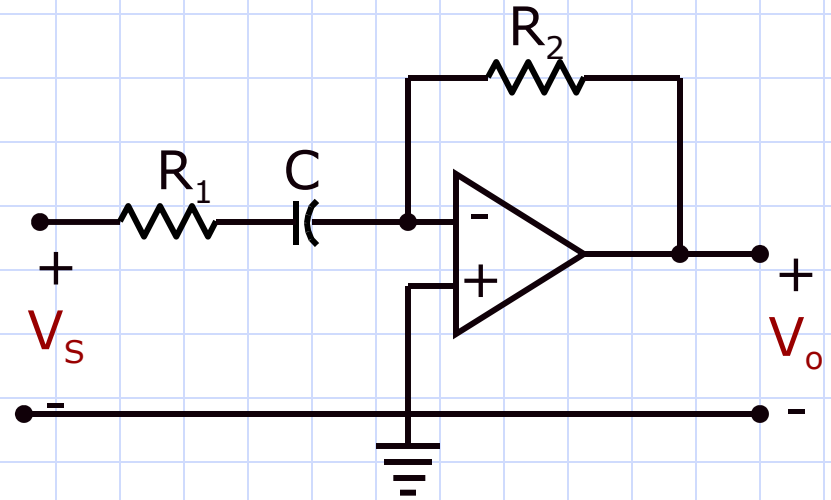
# Active High-Pass Filter

The equivalent impedance is

$$Z_1 = R_1 + \frac{1}{j\omega C}$$

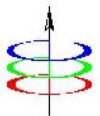
$$Z_1 = \frac{j\omega R_1 C + 1}{j\omega C}$$

and  $Z_2 = R_2$



The output voltage is

$$V_O = -\frac{Z_2}{Z_1} V_S = \frac{-j\omega R_2 C}{1 + j\omega R_1 C} V_S$$





The magnitude of the voltage gain is

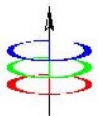
$$|G_v(\omega)| = \frac{\omega R_2 C}{\sqrt{1 + (\omega R_1 C)^2}}$$

**Note:**

1. When  $\omega = 0$ ,  $V_o = 0$ . As  $\omega \rightarrow \infty$ ,  $V_o \rightarrow R_2 V / R_1$ .
2.  $V_o$  increases with frequency.
3. This is a **high-pass filter**.

As  $\omega \rightarrow \infty$ , the term  $\omega R_1 C \gg 1$ . The maximum voltage gain is

$$|G_v(\omega)| = \frac{\omega R_2 C}{\sqrt{1 + (\omega R_1 C)^2}} \bigg|_{\omega=\infty} = \frac{R_2}{R_1}$$



The cut-off frequency is  $\frac{1}{\sqrt{2}}$  of the maximum gain.

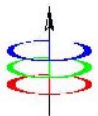
Thus

$$\omega_C = \frac{\omega_C R_2 C}{\sqrt{1 + (\omega_C R_1 C)^2}} = \frac{1}{\sqrt{2}} \frac{R_2}{R_1}$$
$$\frac{\omega_C C}{\sqrt{1 + (\omega_C R_1 C)^2}} = \frac{1}{\sqrt{2} R_1^2}$$

Squaring both sides of the equation, we get

$$\frac{(\omega_C C)^2}{1 + (\omega_C R_1 C)^2} = \frac{1}{2 R_1^2}$$
$$2(\omega_C R_1 C)^2 = 1 + (\omega_C R_1 C)^2$$
$$(\omega_C R_1 C)^2 = 1$$

$$\omega_C R_1 C = 1 \longrightarrow \omega_C = \frac{1}{R_1 C}$$



**Example:** Find the voltage gain  
 when a)  $f_1 = 100$  Hz  
 b)  $f_2 = 10$  kHz

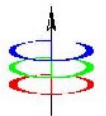
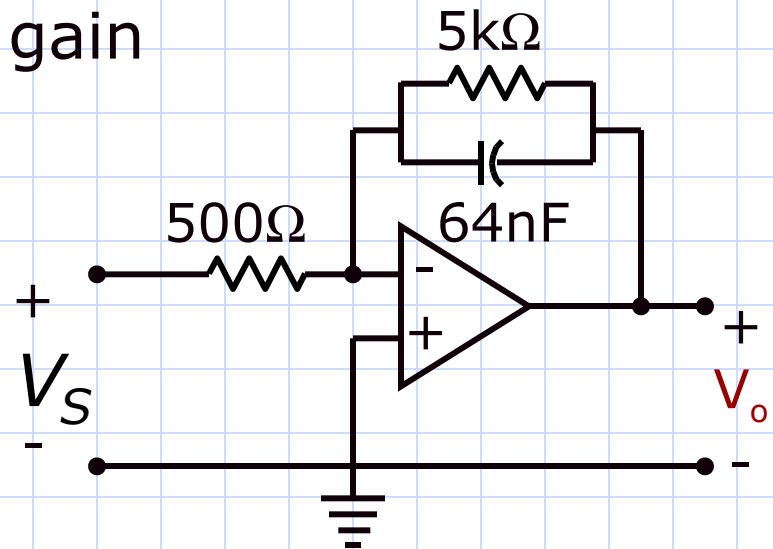
First, we get the equivalent impedance,

$$Z_1 = 500 \, \Omega$$

$$Z_2 = \frac{5(10^3)}{j\omega \cdot 5(10^3)(64)(10^{-9}) + 1} = \frac{5(10^3)}{j\omega(0.32)(10^{-3}) + 1}$$

The voltage gain is

$$G_v(\omega) = -\frac{Z_2}{Z_1} = -\frac{5(10^3)/500}{j\omega(0.32)(10^{-3}) + 1} = -\frac{10}{j\omega(0.32)(10^{-3}) + 1}$$



And the magnitude of voltage gain is

$$|G_v(\omega)| = \frac{10}{\sqrt{\omega^2(0.32 \times 10^{-3})^2 + 1}}$$

a) At  $f_1 = 100$  Hz:

$$\omega_1 = 2\pi f_1 = 2\pi (100) = 628.32 \text{ rad/s}$$

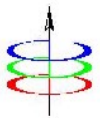
$$|G_v(\omega_1)| = \frac{10}{\sqrt{628.32^2(0.32 \times 10^{-3})^2 + 1}}$$

$$|G_v(\omega_1)| = 9.8037$$

b) At  $f_2 = 10,000$  Hz:

$$\omega_2 = 2\pi f_2 = 2\pi (10,000) = 62,832 \text{ rad/s}$$

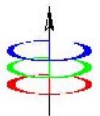
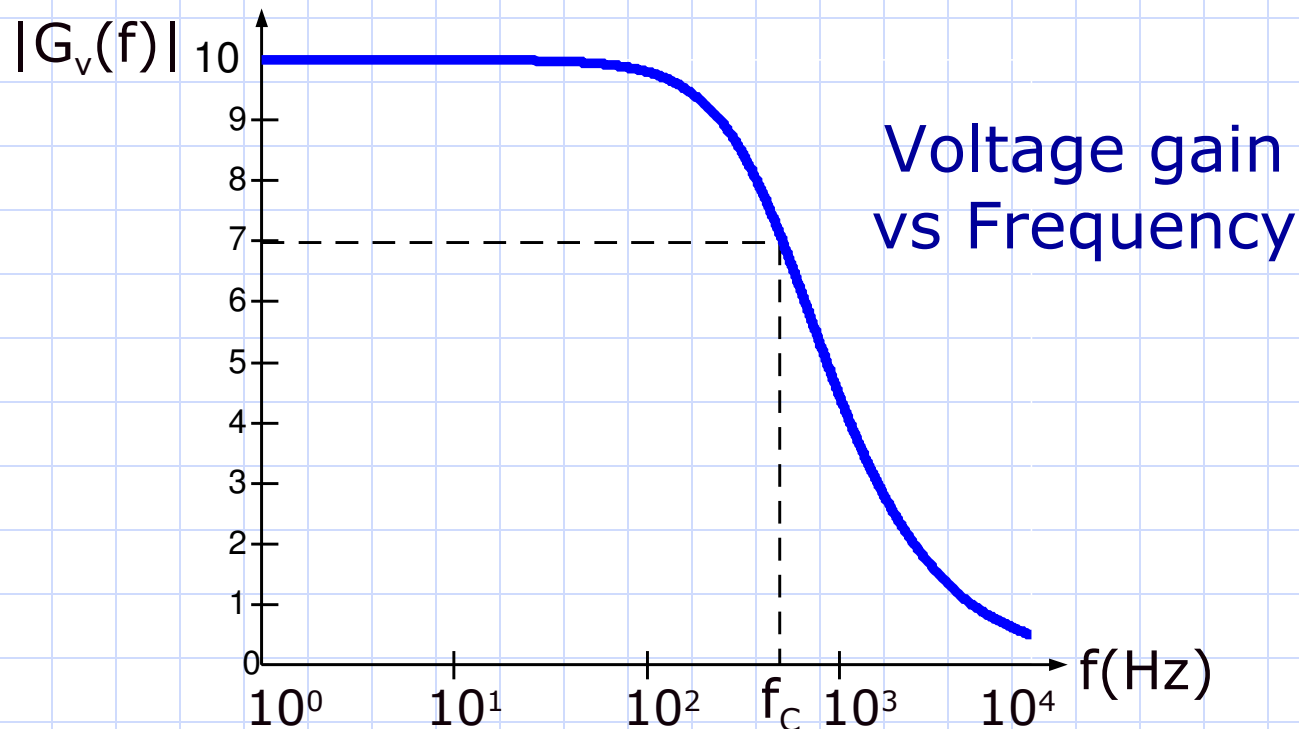
$$|G_v(\omega_2)| = 0.4967$$



We can show that the cut-off frequency is

$$\omega_c = \frac{1}{R_2 C} = \frac{1}{5(10^3)64(10^{-9})}$$

$$\omega_c = 3,125 \text{ rad/sec} \quad (f_c = 497.4 \text{ Hz})$$



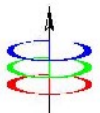
**Example:** In an undergraduate laboratory class, you were asked to implement a high-pass filter with a cut-off frequency at 4.8 kHz and a maximum gain of 20. When you went to get the components, you learned that there are only three capacitor values available: 33 mF, 33  $\mu$  F and 33 nF. Determine the capacitor and resistor values to use.

The cut-off frequency in rad/sec is

$$\omega_c = 2\pi f_c = 2\pi (4.8 \times 10^3) = 30.16 \times 10^3$$

rad/sec  
Determine the corresponding resistor value required by substituting the available capacitors:

$$\omega_c = \frac{1}{R_1 C} \quad \text{or} \quad R_1 = \frac{1}{\omega_c C}$$



At  $C = 33 \text{ mF}$ :  $R_1 = \frac{1}{30.16(10^3)33(10^{-3})} = 1 \text{ m}\Omega$

At  $C = 33 \mu\text{F}$ :  $R_1' = 1 \Omega$

At  $C = 33 \text{ nF}$ :  $R_1'' = 1 \text{ k}\Omega$

Going through the components, we find that only the  $1\text{k}\Omega$  resistor is available. Choose  $C = 33 \text{ nF}$  and  $R_1 = 1 \text{ k}\Omega$ . Finally, get  $R_2$  value:

$$R_2 = R_1 \times (\text{required gain}) = 20 \text{ k}\Omega$$

