- Stability analysis and concept of stability.
- Bilinear transformation.
- Routh-Hurwitz criterion.
- Jury's stability test.

• Root locus.

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• Concept of Stability • Consider the following LTI system. $C(z) = \frac{G(z)R(z)}{1 + [GH](z)} = \frac{K\prod_{i=1}^{m} (z - z_i)}{\prod_{i=1}^{n} (z - p_i)}R(z)$

where z_i are zeros and p_i are poles of the transfer function.

- Stability techniques for LTI continuous systems are also applicable for LTI discrete systems.
- Some modifications to the following techniques.
 - -Routh-Hurwitz criterion
 - $-\operatorname{root}\,\operatorname{locus}$
 - frequency response methods

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Concept of Stability

• Using partial fraction expansion (assuming distinct poles),

$$C(z) = rac{k_1 z}{z - p_i} + \ \ldots \ + \ rac{k_n z}{z - p_n} + \ C_R(z)$$

where $C_R(z)$ are the terms due to the poles of R(z). The first *n* terms are the natural response terms of C(z).

• The system is stable if the natural responses (inverse *z*-transform) tend to zero as time increases.

• The inverse z-transform of the ith term is

$$\mathcal{Z}^{-1}\left[rac{k_i z}{z\,-\,p_i}
ight] \;=\; k_i (p_i)^k$$

If $|p_i| < 1$, the term approaches zero as k goes to ∞ .

• Since the $(z - p_i)$ factors originate from the characteristic equation, i.e.,

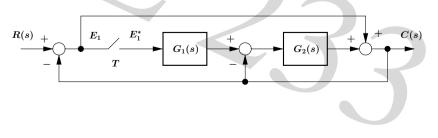
1 + [GH](z) = 0

the system is stable if all roots of the equation are inside the unit circle in the z-plane.

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Concept of Stability

- The concept of stability (and the stability condition) may be extended to system with repeated poles.
- What if the system transfer function cannot be derived? What is the characteristic equation? Consider



• Alternatively, we can look at

 $1 + [GH]^*(s) = 0$

Since the inside of the *z*-plane unit circle corresponds to the left half of the *s*-plane, the roots of the above equation must be in the LHP for stability.

• If a root of the characteristic equation has unity magnitude (i.e., $p_i = 1 \angle \theta$), the natural response neither grows nor decay.

This is a marginally stable system.

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Concept of Stability

• The output expression is

$$\begin{split} C(z) &= \left[\frac{1}{2 + G_2} R \right](z) \\ &+ \frac{\left[\frac{G_1 G_2}{2 + G_2} \right](z)}{1 + \left[\frac{G_1 G_2}{2 + G_2} \right](z)} \left[\frac{1 + G_2}{2 + G_2} R \right](z) \end{split}$$

What part of the C(z) denominator does not contain the input R term?

 $E_i^* = G_1$

-1

 $E_o(s) = rac{-G_1G_2}{2+G_2}E_i^*(s)$

 G_2

_1

C

• The part of C(z) denominator independent of input R is

$$1 + \left[rac{G_1G_2}{2 + G_2}
ight](z)$$

This function (set equal to zero) is taken as the characteristic equation.

- Alternatively, we may derive the characteristic equation by
 - -setting input R(s) to zero,
 - -opening the sampler, and
- -deriving the transfer function at the open.

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Concept of Stability

• Taking the z-transform gives

$$E_o(z) = -\left[rac{G_1G_2}{2\,+\,G_2}
ight](z)E_i(z)$$

• Denote the open-loop TF as

$$G_{op}(z) \;=\; rac{E_o(z)}{E_i(z)} \;=\; - \left[rac{G_1 G_2}{2 \;+\; G_2}
ight](z)$$

• Since we are looking at the sampler, for a closed-loop system, $E_i(z) = E_o(z)$.

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• For the example, the resulting SFG is

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Concept of Stability

• In general, $E_o(z) \neq 0$, thus

• By Mason's gain formula

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 $1 - G_{op}(z) = 0$

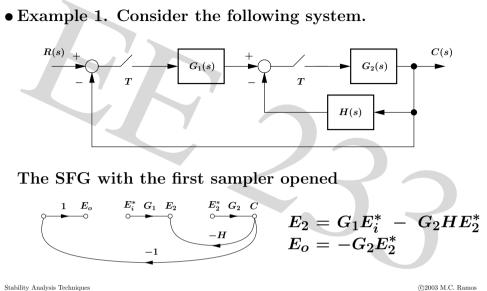
This is our general expression for the characteristic equation.

• For the example, the characteristic equation is

$$1 \;+\; \left[rac{G_1 G_2}{2 \;+\; G_2}
ight] (z) \;=\; 0$$

which is the same as our previous result.

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Concept of Stability

• Thus, the characteristic equation can be written as

$$1 + G_1(z)G_2(z) + [G_2H](z) = 0$$

• The characteristic equation may also be derived by opening the system at the second sampler.

Stability Analysis Techniques EE 233 • Solving for E_2^*

$$E_2^* = rac{G_1^*E_i^*}{1 \ + \ [G_2H]^*}$$

Using the second equation, we get

$$E_o^* \ = \ rac{-G_1^*G_2^*}{1 \ + \ [G_2H]^*}E_i^*$$

Since
$$E_i(z) = E_o(z)$$
 in the closed-loop system, $\left[1 + rac{G_1(z)G_2(z)}{1 + [G_2H](z)}
ight] = 0$

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Concept of Stability

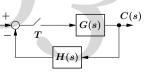
• In general, the characteristic equation of a discrete system may be expressed as

$$1 + F(z) = 1 - G_{op}(z) = 0$$

where $G_{op}(z)$ is the open-loop transfer function. Function F(z) is termed the open-loop function (important in analysis and design).

• For the simple feedback system,

[GH](z) is the open-loop function and -[GH](z) is the open-loop TF.



• The characteristic equation may be calculated from the state-space model.

$$x(k + 1) = Ax(k) + Br(k), \ y(k) = Cx(k) + Dr(k)$$

It was shown that the corresponding transfer function is

$$rac{Y(z)}{R(z)} \;=\; C[zI \;-\; A]^{-1}B \;+\; D$$

• The denominator of the transfer function is |zI - A|, and thus the characteristic equation is

$$|zI - A| = 0$$

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- **Bilinear Transformation**
- In discrete-time systems, the unit circle in the *z*-plane is the boundary. Cannot directly apply continuous-time techniques to discrete-time.
- Use transformation to map z-plane unit circle to the w-plane imaginary axis.

$$z = \frac{1 + (T/2)w}{1 - (T/2)w} \Rightarrow w = \frac{2z - 1}{Tz + 1}$$

- \bullet For continuous-time systems, stability \Leftrightarrow poles in the LHP.
- The imaginary axis in the *s*-plane is the boundary for stability.

Analysis techniques such as

- -Routh-Hurwitz
- -Bode plot methods

are based on this stability property.

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Bilinear Transformation

i.T

• Map the z-plane unit circle
$$z = \epsilon^{j\omega T}$$
.
 $w = \frac{2}{T} \frac{z}{z+1} \Big|_{z=\epsilon^{j\omega T}} = \frac{2}{T} \frac{\epsilon^{j\omega T} - 1}{\epsilon^{j\omega T} + 1}$
 $= \frac{2}{T} \frac{\epsilon^{j\omega T/2} - \epsilon^{-j\omega T/2}}{\epsilon^{j\omega T/2} + \epsilon^{-j\omega T/2}} = j\frac{2}{T} \tan \frac{\omega T}{2}$

- Mappings between s-plane, z-plane and w-plane.
- \bullet LHP is the stable region in the *w*-plane.

• Let ω_w be the *w*-plane frequency such that $j\omega_w = \Im(w)$.

The s-plane and w-plane frequencies are related by

$$\omega_w \;=\; rac{2}{T} {
m tan} rac{\omega T}{2}$$

For small real frequency ω , i.e., ωT is small,

$$\omega_w = rac{2}{T} an rac{\omega T}{2} pprox rac{2}{T} \left(rac{\omega T}{2}
ight) = \omega$$

The w-plane and s-plane frequencies are approximately equal.

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Routh-Hurwitz Criterion

• Used to determine how many roots of the characteristic equation are in the RHP.

Useful for stability analysis of continuous-time systems.

- For discrete-time systems, we must first apply the bilinear transform to determine stability using R-H.
- Write the characteristic equation in the following form.

$$a_0w^n + a_1w^{n-1} + \ldots + a_{n-1}w + a_n = 0$$

• The approximation is valid for ω such that $\tan(\omega T/2) \approx \omega T/2$. The error is within 4% for

$$rac{\omega T}{2} \leq rac{\pi}{10} \Rightarrow \ \omega \ \leq \ rac{2\pi}{10T} = \ rac{\omega_s}{10}$$

We use this to choose the appropriate sampling rate. We like that $\omega \ll \omega_s/10$ for the frequencies of operation (system bandwidth).

• At $\omega = \omega_s/10$, the zero-order hold introduces a phase lag of 18° .

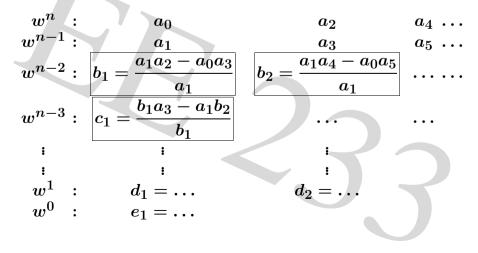
We will see how this affects system stability (next).

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Routh-Hurwitz Criterion

• Routh-Hurwitz (R-H) table.



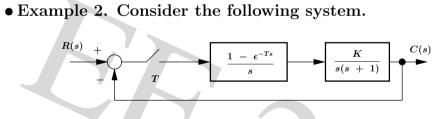
- The Routh-Hurwitz stability criterion states that the number of roots with positive real parts is equal to the number of sign changes of the coefficients in the first column of the table.
- Suppose that the w^{i-1} th row contains only zeros. Let $\alpha_1, \alpha_2, \ldots$ be the coefficients of the w^i th row. Then the equation

$$lpha_1 w^i \ + \ lpha_2 w^{i-2} \ + \ lpha_3 w^{i-4} \ + \ \ldots \ = \ 0$$

is a factor of the characteristic equation.

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Routh-Hurwitz Criterion



- With T = 0.1 s, what is the range of K for stability?
- The open-loop TF is

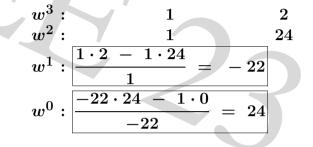
$$G(s) = rac{1 \ - \ \epsilon^{-Ts}}{s} rac{1}{s(s \ + \ 1)}$$

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 \bullet Review. Consider the system characteristic equation

$$w^3 + w^2 + 2w + 24 = 0$$

R-H table format.



• Since there is at least one sign change in the first row of the R-H table, the system is unstable.

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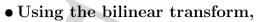
Routh-Hurwitz Criterion

 \bullet From z-transform tables,

$$egin{aligned} \mathcal{Z}\left[rac{a}{s^2(s\,+\,a)}
ight] \ &=rac{z[(aT\,-\,1\,+\,\epsilon^{-aT})z\,+\,(1\,-\,\epsilon^{-aT}\,-\,aT\epsilon^{-aT})]}{a(z\,-\,1)^2(z\,-\,\epsilon^{-aT})} \end{aligned}$$

• The z-transform of G(s) is then given by $G(z) = \frac{z - 1}{z} \mathcal{Z} \left[\frac{1}{s^2(s + 1)} \right]$ $= \frac{0.00484z + 0.00468}{(z - 1)(z - 0.905)}$

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 $G(w) = G(z)|_{z=\frac{1+(T/2)w}{1-(T/2)w}} = G(z)|_{z=\frac{1+0.05w}{1-0.05w}}$ $G(w) = \frac{-0.00016w^2 - 0.1872w + 3.81}{3.81w^2 + 3.80w}$ • The characteristic equation is 0 = 1 + KG(w) $= (3.81 - 0.00016K)w^2 + (3.80 - 0.1872K)w$ + 3.81K

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Routh-Hurwitz Criterion

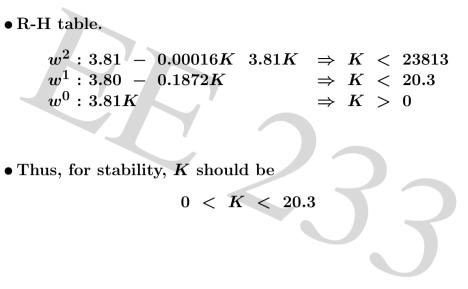
• Example 3. What is the range of K for stability for a sampling period of T = 1 s.

From a previous example,

$$G(z) = rac{0.368z + 0.264}{z^2 - 1.368z + 0.368}$$

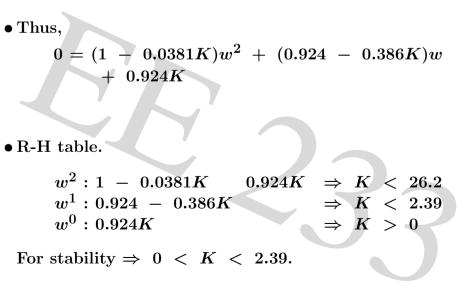
• The characteristic equation is

$$egin{array}{rcl} 0 &= 1 \;+\; KG(w) \;=\; 1 \;+\; K\,G(z) ig|_{z = rac{1 \;+\; 0.5w}{1 \;-\; 0.5w}} \ &= 1 \;+\; rac{-0.0381 K(w \;-\; 2)(w \;+\; 12.14)}{w(w \;+\; 0.924)} \end{array}$$



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Routh-Hurwitz Criterion



- We can see how the sampling period affects stability.
 - T = 0.1 s, system is stable for 0 < K < 20.3. T = 1 s, system is stable for 0 < K < 2.39.

Stability suffers with increasing sample period T (slower sampling rate).

• The system is marginally stable at K = 2.39.

To find the (real) frequency of oscillation, first find the w-plane frequency ω_w at which the system is marginally stable.

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Jury's Stability Test

• R-H criterion cannot be directly applied to determine the stability of discrete-time systems.

Bilinear transform is manageable for systems with low order. Tedious for high-order systems (maybe).

• What we want is a stability test that we could directly use on our z-function characteristic equation.

Let us now look at Jury's stability test. Write the characteristic equation as

$$Q(z) = a_n z^n + a_{n-1} z^{n-1} + \ldots + a_1 z + a_0 = 0$$

where $a_n > 0$.

• At K = 2.39 the w^1 row of the R-H table is zero. Thus, the w^2 row gives a factor of the characteristic equation.

$$egin{aligned} 0 &= [(1 - 0.0381K)w^2 + 0.924K]_{K=2.39} \ &= 0.9089w^2 + 2.181 \ &\Rightarrow w &= \pm j1.549 \end{aligned}$$

• Thus $\omega_w = 1.549$ and the corresponding *s*-plane frequency is

$$\omega = \frac{2}{T} \tan^{-1} \frac{\omega_w T}{2} = \frac{2}{1} \tan^{-1} \frac{(1.549)(1)}{2} = 1.32 \ rad/s$$

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Jury's Stability Test

• Array for Jury's stability test.

z^0	z^1	z^2	•••	z^{n-k}	•••	z^{n-1}	z^n
a_0	a_1	a_2		a_{n-k}	•••	a_{n-1}	a_n
a_n	a_{n-1}	a_{n-2}	• • •	a_k	• • •	a_1	a_0
b_0	b_1	b_2	•••	b_{n-k}	• • •	b_{n-1}	
b_{n-1}	b_{n-2}	b_{n-3}	•••	b_{k-1}		b_0	
c_0	c_1	c_2	•••	c_{n-k}	•••		
c_{n-2}	c_{n-3}	c_{n-4}	•••	c_{k-2}	• • •		
:	:	:	:	1			
l_0	l_1	l_2	l_3				
l_3	l_2	l_1	l_0				
m_0	m_1	m_2					

• The elements of the even-numbered rows are the elements of the preceeding row in reverse order.

The elements of the odd-numbered rows are

$$b_k = egin{bmatrix} a_0 & a_{n-k} \ a_n & a_k \ \end{pmatrix}, \quad c_k = egin{bmatrix} b_0 & b_{n-1-k} \ b_{n-1} & b_k \ \end{pmatrix}, \ d_k = egin{bmatrix} c_0 & c_{n-2-k} \ c_{n-2} & c_k \ \end{pmatrix}, \quad \ldots$$

- \bullet Remarks.
 - -a second-order system has only one row.
 - for each additional order, two additional rows are added to the array.

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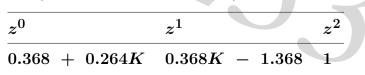
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Jury's Stability Test

- Example 4. Use Jury's stability test to solve the previous example.
- Characteristic equation.

 $0 = 1 + KG(z) = 1 + K \frac{0.368z + 0.264}{z^2 - 1.368z + 0.368}$ = $z^2 + (0.368K - 1.368)z + 0.368 + 0.264K$

• Jury array (do we actually need it?).



©2003 M.C. Ramos UP EEE Department • The necessary and sufficient conditions for Q(z) to have no roots outside or on the unit circle, with $a_n > 0$, are

1. Q(1) > 0, $(-1)^n Q(-1) > 0$, $|a_0| < a_n$ 2. $|b_0| > |b_{n-1}|$, $|c_0| > |c_{n-2}|$, ... $|m_0| > |m_2|$

- In an *n*th-order system, there are n + 1 constraints.
- It may not be necessary to form the whole array.
 check that condition 1 holds before forming the array.
 proceed a row at a time while checking condition 2.
 stop if any condition is not satisfied.

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Jury's Stability Test

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- Thus, the system is stable for 0 < K < 2.39. The system is marginally stable at K = 2.39.
- \bullet The characteristic equation at this K is

$$egin{array}{rcl} 0 &= z^2 &+ & (0.368K &- & 1.368)z \ &+ & 0.368 &+ & 0.264K|_{K=2.39} \ &= z^2 &- & 0.488z &+ & 1 \end{array}$$

The roots are

$$egin{array}{rll} z = 0.244 \ \pm \ j 0.970 \ = \ 1 ota \pm 75.9^o \ = 1 ota \pm 1.32 \ rad \ = \ 1 ota \pm \omega T \end{array}$$

Thus, at T=1, the real frequency $\omega=1.32~rad/s.$

Jury's Stability Test

• Construct the Jury array.

where

$$egin{aligned} b_0 &= egin{pmatrix} -0.2 & 1 \ 1 & -0.2 \ \end{pmatrix}, \quad b_1 &= egin{pmatrix} -0.2 & -1.8 \ 1 & 1.05 \ \end{pmatrix}, \ b_2 &= egin{pmatrix} -0.2 & 1.05 \ 1 & -1.8 \ \end{pmatrix} \end{aligned}$$

Thus,
$$|b_0| = 0.96 > |b_2| = 0.69$$
.

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• Example 5. Apply Jury's test to a system with the characteristic equation

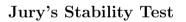
$$Q(z) = z^3 - 1.8z^2 + 1.05z - 0.20 = 0$$

• Test the first set of conditions. Q(1) = 1 - 1.8 + 1.05 - 0.20 = 0.05 > 0

$$(-1)^{3}Q(-1) = (-1)(-1 - 1.8 - 1.05 - 0.20)$$

= 4.05 > 0
 $|0.20| < 1$

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- Since all constraints are satisfied, the system is stable.
- We can verify this by factoring Q(z) as

$$Q(z) \;=\; (z \;-\; 0.5)^2 (z \;-\; 0.8) \;=\; 0$$

and seeing that roots are all inside the unit circle.

©2003 M.C. Ramos UP EEE Department • Example 6. Given a closed-loop system with a PI compensation. Determine the range of K_P for stability if $K_I = 100T$ and T = 0.1 s.

$$D(z) = K_P + rac{K_I z}{z - 1}$$
 $G(z) = rac{1 - \epsilon^{-T}}{z - \epsilon^{-T}}$

• Characteristic equation.

$$0 = 1 + D(z)G(z)$$

= 1 + $\frac{(K_P + K_I)z - K_P 1 - e^{-T}}{z - 1 - z - e^{-T}}$
= $z^2 - [(1 + e^{-T}) - (1 - e^{-T})(K_I + K_P)]z$
+ $e^{-T} - (1 - e^{-T})K_P$

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Jury's Stability Test

- Stability constraint $(-1)^2Q(-1) > 0$ yields
 - $1 + 0.953 0.0952K_P + 0.905 0.0952K_P > 0$
 - If $K_P > 0$ (which is usually the case), $0 < K_P < 15.01$.
- Stability constraint $|a_0| < a_2$ results in
 - $|0.905 0.0952K_P| < 1 \Rightarrow K_P < 20.0$

• Thus, $K_P < 15.01$ for stability.

• Simplifying, our characteristic equation is $0 = z^{2} - (0.953 - 0.0952K_{P})z + 0.905 - 0.0952K_{P}$ • Jury array (just for completeness). z^0 z^2 z^1 $0.905 - 0.0952 K_P$ 0.953 - $0.0952 K_P$ 1 • Stability constraint Q(1) > 0 gives $1 - 0.953 + 0.0952 K_P + 0.905 - 0.0952 K_P > 0$ which is satisfied for any K_P . Stability Analysis Techniques ©2003 M.C. Ramos EE 233 UP EEE Department

Root Locus

• Consider the LTI sampled-data system.

$$\xrightarrow{R(s)}_{T} \xrightarrow{K} \xrightarrow{G(s)} \xrightarrow{C(s)} \frac{C(z)}{R(z)} = \frac{KG(z)}{1 + K[GH](z)}$$

• The root locus of the system is the plot (in the *z*-plane) of the roots of the characteristic equation

$$1 + K[GH](z) = 0$$

- as K is varied from 0 to ∞ .
- We can use the *s*-plane root locus construction techniques for the *z*-plane locus.

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• The breakaway points are at z = 0.65 (K = 0.196)

• Example 7. Consider the system in example 4.

$$KG(z) \;=\; rac{0.368 K(z\;+\;0.717)}{(z\;-\;1)(z\;-\;0.368)}$$

- From the root locus basics,
 - the locus originates at z = 1, 0.368.
 - the locus terminates at z = -0.717 and $z = \infty$.
 - -there is one asymptote at 180° .
 - the breakaway points obtained from the solution of

$${d\over dz}[G(z)]~=~0$$

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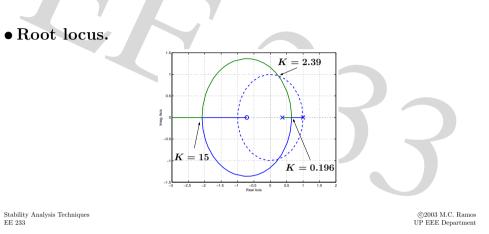
- Root Locus
- The intersection with the unit circle may be found by using stability test (RH or Jury), or by graphical inspection (rlocfind).
- From the RH criterion, the system is marginally stable for K = 2.39.

The characteristic equation at this gain is

$$z^2 - 0.488z + 1 = 0$$

Thus, the locus intersects the unit circle at $z = 0.244 \pm j0.970$.

and $z = -2.08 \ (K = 15.0)$.



Root Locus

 \bullet The intersection can also be determined graphically.

Once we figure out z at the intersection, we can use this in our characteristic equation

1 + K[GH](z) = 0

and solve for K that satisfies the equation.

• Alternatively, from the characteristic equation, we get

$$K[GH](z) = -1 \Rightarrow |K[GH](z)| = 1$$

• Using the general form of [GH](z),

$$|[GH](z)| \;=\; rac{|z\;-\;z_1|\cdot\ldots\cdot|z\;-\;z_m|}{|z\;-\;p_1|\cdot\ldots\cdot|z\;-\;p_n|}$$

We can look at the $|z - z_i|$ (or $|z - p_i|$) terms as distances from point z to z_i (or p_i).

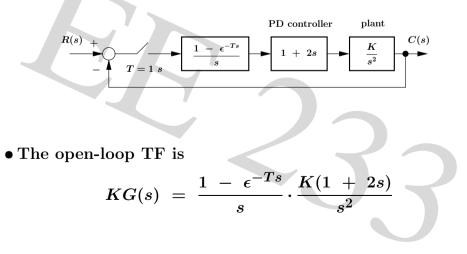
• We can measure the distances from the graph, determine |[GH](z)|, and finally determine the gain K as

K = 1/|[GH](z)|

(taking K as a positive, which is usually the case). Stability Analysis Techniques EE 233
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Root Locus

• Example 8. Find the range of K for stability.



example, $K = \frac{P_1 \cdot P_2}{0.368Z_1}$ From the figure, $Z_1 = 1.36$, $P_1 = 1.23$ and $P_2 = 0.98$.

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Root Locus

 \bullet The *z*-transform is

$$KG(z) \;=\; rac{5z\;-\;3}{2(z\;-\;1)^2} \;=\; rac{2.5K(z\;-\;0.6)}{(z\;-\;1)^2}$$

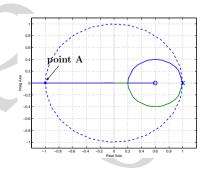
• Root locus.

Point A is at z = -1. Thus, to find the gain K at point A, we solve

• Applying this technique to our

$$1 + KG(z) = 0$$

at z = -1.



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• Solving for K.

$$1 + \frac{2.5K(z - 0.6)}{(z - 1)^2}\Big|_{z = -1} = 0$$
$$\frac{2.5K(-1.6)}{(-2)^2} = -1 \Rightarrow K = 1$$

- Thus, the system is marginally stable at K = 1.
- Stability Analysis Techniques EE 233

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- Concept of stability in discrete-time.
- Bilinear transformation.
- Routh-Hurwitz criterion and Jury's stability test.

• Root locus.

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